

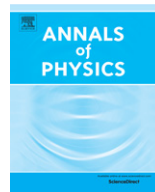


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Probing many-body interactions in an optical lattice clock



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HIGHLIGHTS

- Derived a theoretical framework that describes many-body effects in a lattice clock.
- Validated the analysis with recent experimental measurements.
- Demonstrated the importance of beyond mean field corrections in the dynamics.

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ABSTRACT

We present a unifying theoretical framework that describes recently observed many-body effects during the interrogation of an optical lattice clock operated with thousands of fermionic alkaline earth atoms. The framework is based on a many-body master equation that accounts for the interplay between elastic and inelastic p -wave and s -wave interactions, finite temperature effects and excitation inhomogeneity during the quantum dynamics of the interrogated atoms. Solutions of the master equation in different parameter regimes are presented and compared. It is shown that a general solution can be obtained by using the so called Truncated

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Wigner Approximation which is applied in our case in the context of an open quantum system. We use the developed framework to model the density shift and decay of the fringes observed during Ramsey spectroscopy in the JILA ^{87}Sr and NIST ^{171}Yb optical lattice clocks. The developed framework opens a suitable path for dealing with a variety of strongly-correlated and driven open-quantum spin systems.

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1. Introduction

One of the ultimate goals of modern physics is to understand and fully control quantum mechanical systems and to exploit them both, at the level of basic research and for numerous technological applications including navigation, communications, network management, etc. To accomplish these objectives, we aim at developing the most advanced and novel measurement techniques capable of probing quantum matter at the fundamental level.

Some years ago, the second – the international unit of time – was defined by the Earth's rotation. However, with the discovery of quantum mechanics and the quantized nature of the atomic energy levels, it became clear that atomic clocks could be more accurate and more precise than any mechanical or celestial reference previously known to man. Thus, in 1967 the second was redefined as the duration of 9,192,631,770 periods of the radiation corresponding to the transition between the two hyperfine energy levels of a cesium atom. Since then, the accuracy of atomic clocks has improved dramatically, by a factor of 10 or so every decade. The characterization of the unit of time plays a central role within the International System of Units (SI) because of its unprecedented high accuracy and because it is also used in the definitions of other units such as meter, volt and ampere.

Thanks to the development of laser trapping and cooling techniques [1,2], the best cesium standards have reached an accuracy of one part in 10^{16} . However, cesium clocks are limited by the fact that they are based on atomic transitions in the microwave domain. Because the quality factor of the clock is proportional to the frequency, optical clocks with frequencies that can be 10^6 times higher than microwaves, offer an impressive potential gain over their microwave counterparts. Optical frequencies on the other hand are very difficult to measure, as the oscillations are orders of magnitude faster than what electronics can measure. The implementation of frequency comb technology [3] has provided a coherent link between the optical and microwave regions of the electromagnetic spectrum, greatly simplifying optical frequency measurements of high accuracy. After the development of frequency combs, the interest in optical clocks has grown rapidly. Now, optical clocks based on single trapped ions and neutral atoms are the new generation of frequency standards with a sensitivity and accuracy as high as one part in 10^{18} [4–6].

Optical clocks operated with fermionic neutral alkaline earth atoms (AEA), such as ^{87}Sr or ^{171}Yb , have matured considerably. Those employ an optical lattice to tightly confine the atoms so that Doppler and photon-recoil related effects on the transition frequency are eliminated. State-of-the-art neutral-atom-optical clocks have surpassed the accuracy of the Cs standard [7] and just recently, thanks to advances in modern precision laser spectroscopy, are reaching and even surpassing the accuracy of single ion standards [6]. The most stable of these clocks now operate near the quantum noise limit [8,9]. The stability arises from the intrinsic atomic physics of two-valence-electron atoms that possess extremely long lived singlet and triplet states (clock states), with intercombination lines nine orders of magnitude narrower than a typical dipole-allowed electronic transition.

The potential advantage of neutral-atom clocks over single trapped ion clocks is that, in the former, a large number of atoms is simultaneously interrogated. This could lead to a large signal-to-noise improvement; however, high atom numbers combined with tight confinement also lead to high atomic densities and the potential for non-zero collisional frequency shifts via contact atom–atom interactions. With atom–light coherence times reaching several seconds, even very weak interactions (e.g., fractional energy level shifts of order $\geq 1 \times 10^{-16}$) can dominate the dynamics of these systems.

To suppress these interactions, the use of ultracold, spin-polarized fermions was proposed. The idea was to exploit the Fermi suppression of s -wave contact interactions while freezing out p -wave and higher wave collisions at ultracold atomic temperatures. Indeed, at precision level of 10^{-15} , the JILA Sr clock did not exhibit a density-dependent frequency shift [10], however as the measurement precision progressed, density-dependent frequency shifts were measured in spin polarized fermions, at the JILA Sr clock [11–13] and at the NIST Yb clock [14].

When those density-dependent frequency shifts were first observed, they were attributed to s -wave collisions allowed by inhomogeneous excitation [15,11,16–18], under the assumption that p -wave interactions were suppressed at the operating temperatures ($T \sim \mu\text{K}$) [11]. The basic initial understanding, obtained from a mean-field treatment, was that excitation inhomogeneities induced by the optical probing laser made the initially indistinguishable fermionic atoms distinguishable, and thus allowed them to interact via s -wave collisions.

However, studies of the cold collision shift in the NIST Yb optical lattice clock using Ramsey spectroscopy revealed that p -wave interactions were the dominant elastic interactions in that system [19]. Furthermore, evidence of inelastic p -wave interactions was reported in both Yb and Sr atomic clocks [20,21]. Although the importance of many-body interactions in optical clocks has been recognized theoretically [16–18], only recent measurements have revealed their many-body nature [22,23]. In those measurements, the role of s -wave collisions was further suppressed by operating the Sr clock with highly homogeneous atom–laser coupling. This results in dominant p -wave interactions with a collective character, as we will explain below.

At this point it is important to emphasize that recent advances in modern precision laser spectroscopy, with record levels of stability and residual laser drift less than mHz/s [8,24,23] are the crucial developments that are allowing us to deal with clocks operated at very different conditions than those ones dealt with just few years ago. The level of precision spectroscopy achievable in current atomic clocks is now providing the required spectral resolution to systematically resolve and study the complex excitation spectrum of an interacting many-body system. This was certainly not the case in prior clock experiments where interaction effects were subdominant and where a mean-field treatment was more than enough to describe the clock behavior. For example in 2006, a 2 Hz spectral resolution has achieved for the Sr atomic transition and no interaction effects could be resolved at the time [25].

In this paper we present a unifying theoretical framework that goes beyond a simple mean-field treatment and that is capable of describing the full many-body dynamics of nuclear spin-polarized alkaline earth atoms during clock interrogation. The two clock states are treated as an effective spin degree of freedom. Both, elastic and inelastic two-body collisions and single-particle losses are present during the dynamics, and thus a pure Hamiltonian formulation is not sufficient. Instead, we develop a master equation formulation which is capable of treating the quantum evolution of an open spin system. We provide analytic/exact solutions of the master equation dynamics in parameter regimes where exact treatments are possible. For the more generic situations we solve the dynamics relying on the so called Truncated Wigner Approximation (TWA) [26,27]. In contrast to previous theoretical treatments of the clock dynamics, which were limited to treating two-particles or many-particles but at the mean-field level or under the all-to-all approximation [16–18,13], the TWA method allows us to include both elastic and inelastic collisions beyond the mean-field level, finite temperature effects and inhomogeneities generated by either the laser during the pulse interrogation or by many-body interactions. Those are shown to be crucial for properly modeling observed many-body dynamics, especially at $T \gtrsim 10 \mu\text{K}$, at which excitation inhomogeneities cannot be neglected. To our knowledge this is the first time that the TWA is applied to describe an open quantum system in the presence of inelastic losses.

Although this paper focuses on optical lattice clocks, the developed theoretical framework is generic for driven open-quantum systems and should be a useful platform for dealing with a variety of current experimentally relevant systems including trapped ions [28,29], polar molecules [30–35], nitrogen vacancy centers [36], and atoms in optical cavities [37,38] among others.

The remainder of the paper is organized as follows. In Section 2, we introduce the reader to the basic operation of an atomic clock and derive the many-body Hamiltonian that describes the dynamics of nuclear spin-polarized fermionic atoms during clock interrogation. We then proceed to derive a

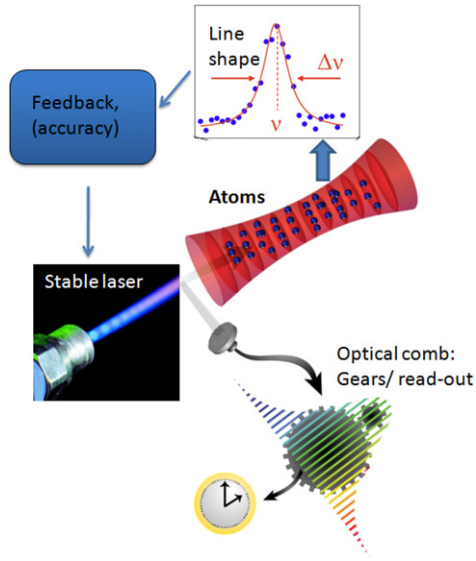


Fig. 1. (Color online) General design of an optical lattice clock.

simplified effective spin model which assumes frozen motional-excitations during the dynamics. In Section 3, we solve for the dynamics under the assumption of collective spin interactions (all-to-all interactions) in a closed system. In Section 4, we show how to treat the observed two-body losses and introduce a master equation, which we solve under the collective-interactions approximation. We also show how to use the TWA to deal with the open quantum system dynamics. In Section 5, we relax the frozen-motional-degrees-of-freedom approximation and derive an improved spin Hamiltonian with cubic spin–spin interactions which account for the virtual occupation of excited motional modes. In Section 6, we go beyond the all-to-all interactions approximation and present a more general prescription that can address both non-collective interactions and losses and single-particle inhomogeneities. In Section 7, we apply the developed theoretical framework to model the dynamics during Ramsey spectroscopy observed in the JILA⁸⁷Sr and the NIST ¹⁷¹Yb optical lattice clocks, and finally, in Section 8, we present the conclusions. In [Appendices A–E](#), we present some details omitted in the main text.

2. Many-body physics during clock operation

2.1. A simple overview of an optical lattice clock

The general design of an optical lattice clock is shown in [Fig. 1](#). It consists of two components, a laboratory radiation source and an atomic system with a natural reference frequency determined by quantum mechanics to which the laboratory radiation source can be compared. Here, the laboratory radiation source is an ultra-stable continuous-wave laser. It acts as the local oscillator (or pendulum) for the clock and is used to probe an electromagnetic resonance in an atom. The atomic signal can then be used to determine the difference between the laser frequency and that of the reference atom, allowing laser frequency to be monitored and stabilized to the preferred value. A frequency comb [3] provides the gears of the clock, allowing measurements of the laser frequency relative to other high accuracy clocks in either the optical or microwave domains.

The two main quantities that characterize the performance of a clock are the accuracy and the precision. The accuracy is determined by how well the measured frequency matches that of the atom's natural frequency. In general, the accuracy will depend on the atomic species used and how well it can be isolated from environmental effects during spectroscopy. The precision of the clock is

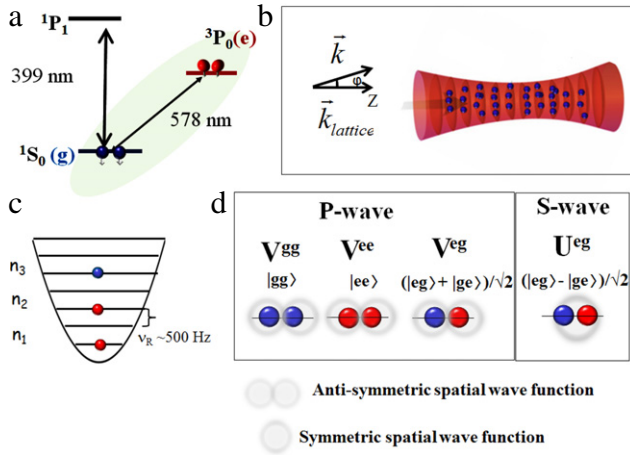


Fig. 2. (Color online) Schematics: a. Energy levels of alkaline earth atoms. The 1S_0 – 3P_0 electronic levels, which we denote as g and e respectively, define the spin-1/2 system. Here the red–blue colors indicate electronic levels. The arrows show the nuclear spins which are fully polarized. The indicated energy level spacings are specific of ^{171}Yb atoms. The atoms are confined in a deep one-dimensional optical lattice (generated by a laser with wavevector k_{lattice} along the axial dimension), which creates an array of disk-like potentials (pancakes). In each pancake, the atoms occupy many transverse vibrational levels at current $\sim\mu\text{K}$ temperatures, as shown in c. The probing laser with wavevector k , can be slightly misaligned from the lattice beam, and this gives rise to excitation inhomogeneities. The relevant interactions are p -wave and s -wave. The corresponding interaction parameters are indicated in panel d. To derive the spin model, one assumes that the atoms are frozen in the vibrational modes and that the only relevant dynamics, therefore, happen in the electronic degree of freedom.

more commonly referred to as the stability, which represents the repeatability of the measured clock frequency over a given averaging time τ . For quantum projection noise limited measurements, it is typically expressed as [39]

$$\sigma(\tau) \approx \frac{\Delta\nu}{\nu} \sqrt{\frac{t_c}{\tau N}}. \tag{1}$$

Here, $\frac{\nu}{\Delta\nu} = Q$ is the line quality factor of the clock transition for a linewidth $\Delta\nu$ and \sqrt{N} is associated with the signal-to noise-ratio achieved for interrogating N atoms in the measurement cycle time t_c .

Fermionic AEA such as ^{87}Sr and ^{171}Yb have unique properties that make them ideal candidates for the realization of atomic clocks [40]. The clock states are the ground singlet state, 1S_0 , and a long lived triplet state 3P_0 , with intercombination lines both electric and magnetic dipole forbidden and as narrow as a few mHz, see Fig. 2. In the ground state (1S_0), and to leading order in the excited state (3P_0), the electronic degrees of freedom have neither spin nor orbital angular momentum [25]. This means that the atoms in the clock states only interact with external magnetic fields through the nuclear spin degrees of freedom which have a g -factor 1000 times smaller than the electronic orbital one. Therefore, AEA are much less sensitive to magnetic field fluctuations and/or to intensity and phase noise on the optical fields than conventional alkali atoms.

The clock transition is only allowed (i.e. laser light weakly couples 1S_0 to 3P_0) because in the excited state the hyperfine interaction leads to a small admixture of the higher-lying P states [10]. This small admixture strongly affects the magnetic moment and causes the g -factor of the excited state to be significantly different from that of the ground state ($\sim 60\%$ for Sr). The different g -factor allows for the addressability of the various Zeeman levels in the presence of a bias magnetic field as demonstrated in Ref. [25].

Optical spectroscopy in atomic clocks is sensitive to atomic motion due to the Doppler effect. To overcome this limitation, atoms are confined in a tight optical lattice formed by a standing wave light pattern to eliminate broadening and frequency shifts due to atomic motion. Within a lattice site the atoms are tightly trapped in the so-called Lamb–Dicke regime where the length scale associated with

their motion is much smaller than the wavelength of the laser probing the atoms. Moreover the optical lattice can be carefully designed to operate at the so-called magic wave length at which the light shifts on the clock states are equal and the clock frequency is not perturbed [41].

In optical lattice clocks, one can simultaneously probe large samples $\sim 10^{3-5}$ of laser-cooled atoms which can potentially lead to high frequency stability. Nevertheless, this precision may come at the cost of systematic inaccuracy due to atomic interactions. As mentioned before, the use of ultracold spin-polarized fermions was thought to be the key to avoid interaction effects. However, it has been recently shown that this is not the case [11,13,42,21,22,19,20].

2.2. Many-body Hamiltonian for spin polarized fermionic atoms

In order to model the dynamics during clock interrogation, we will consider a nuclear spin-polarized ensemble of fermionic atoms with two accessible electronic degrees of freedom associated with the 1S_0 – 3P_0 electronic levels (see Fig. 2) which we denote as g and e respectively. g stands for the ground state and e for an excited state. We focus on the case where the atoms are trapped in an external potential $V_{ext}(\mathbf{R})$ which is the same for g and e (i.e. at the “magic wavelength” [41]). If the atoms are illuminated by a linearly polarized laser beam with bare Rabi frequency Ω_0 , they are governed by the following many-body Hamiltonian [43,44,16,18,34,22]

$$\begin{aligned} \hat{H} &= \hat{H}_0 + \hat{H}_1, \\ \hat{H}_0 &= \sum_{\alpha} \int d^3\mathbf{R} \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{R}) \left(-\frac{\hbar^2}{2m} \nabla^2 + V_{ext}(\mathbf{R}) \right) \hat{\Psi}_{\alpha}(\mathbf{R}) \\ &\quad + \frac{4\pi \hbar^2 a_{eg}^-}{m} \int d^3\mathbf{R} \hat{\Psi}_e^{\dagger}(\mathbf{R}) \hat{\Psi}_e(\mathbf{R}) \hat{\Psi}_g^{\dagger}(\mathbf{R}) \hat{\Psi}_g(\mathbf{R}) \\ &\quad + \frac{3\pi \hbar^2}{m} \sum_{\alpha,\beta} b_{\alpha\beta}^3 \int d^3\mathbf{R} \left[\left(\vec{\nabla} \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{R}) \right) \hat{\Psi}_{\beta}^{\dagger}(\mathbf{R}) - \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{R}) \left(\vec{\nabla} \hat{\Psi}_{\beta}^{\dagger}(\mathbf{R}) \right) \right] \\ &\quad \cdot \left[\hat{\Psi}_{\beta}(\mathbf{R}) \left(\vec{\nabla} \hat{\Psi}_{\alpha}(\mathbf{R}) \right) - \left(\vec{\nabla} \hat{\Psi}_{\beta}(\mathbf{R}) \right) \hat{\Psi}_{\alpha}(\mathbf{R}) \right] + \frac{1}{2} \hbar \omega_0 \int d^3\mathbf{R} \left[\hat{\rho}_e(\mathbf{R}) - \hat{\rho}_g(\mathbf{R}) \right], \\ \hat{H}_1 &= -\frac{\hbar \Omega_0}{2} \int d^3\mathbf{R} \left[\hat{\Psi}_e^{\dagger}(\mathbf{R}) e^{-i(\omega_L t - \mathbf{k} \cdot \mathbf{R})} \hat{\Psi}_g(\mathbf{R}) + \text{h.c.} \right]. \end{aligned} \quad (2)$$

Here $\hat{\Psi}_{\alpha}(\mathbf{R})$ is a fermionic field operator at position \mathbf{R} for atoms with mass m in electronic state $\alpha = g$ (1S_0) or e (3P_0) while $\hat{\rho}_{\alpha}(\mathbf{R}) = \hat{\Psi}_{\alpha}^{\dagger}(\mathbf{R}) \hat{\Psi}_{\alpha}(\mathbf{R})$ is the corresponding density operator. We consider two possible interaction channels: s -wave and p -wave (see Fig. 2). Since polarized fermions are in a symmetric nuclear state, their s -wave interactions are characterized by only one scattering length a_{eg}^- , describing collisions between two atoms in the antisymmetric electronic state, $\frac{1}{\sqrt{2}}(|ge\rangle - |eg\rangle)$. The p -wave interactions can have three different scattering volumes b_{gg}^3 , b_{ee}^3 , and b_{eg}^3 associated to the three possible electronic symmetric states ($|gg\rangle$, $|ee\rangle$, and $\frac{1}{\sqrt{2}}(|ge\rangle + |eg\rangle)$) respectively. \hat{H}_1 takes into account the interrogation of the atoms by a laser that has frequency ω_L and wavevector \mathbf{k} and is detuned from the atomic transition frequency ω_0 by $\delta = \omega_L - \omega_0$.

2.3. Effective spin model

We consider the situation of a deep 1D lattice, $V_{ext}(\mathbf{R})$, along Z , which creates an array of two-dimensional disks or “pancakes” and induces a weak harmonic radial (transverse) confinement with an angular frequency $\omega_R = 2\pi \nu_R$. The lattice confines the atoms to the lowest axial vibrational mode. This analysis can be straightforwardly generalized to the case of a 2D lattice in which two directions are frozen and only one is thermally populated.

We expand the field operator in a non-interacting atom basis, $\hat{\Psi}_{\alpha}(\mathbf{R}) = \phi_0^Z(Z) \sum_{\mathbf{n}} \hat{c}_{\alpha\mathbf{n}} \phi_{n_X}(X) \phi_{n_Y}(Y)$, where ϕ_0^Z is the ground longitudinal mode in a lattice site and ϕ_n are transverse harmonic oscillator

eigenmodes. $\hat{c}_{\alpha\mathbf{n}}^\dagger$ creates a fermion in mode $\mathbf{n} = (n_X, n_Y)$ and electronic state α . In this basis and in the rotating frame of the laser, \hat{H} can be rewritten as [13,19,22]:

$$\begin{aligned} \hat{H}_0 &= -\hbar\delta \sum_{\mathbf{n}} \hat{n}_{e\mathbf{n}} + \sum_{\alpha, \mathbf{n}} E_{\mathbf{n}} \hat{n}_{\alpha\mathbf{n}} \\ &+ \sum_{\alpha, \beta, \mathbf{n}, \mathbf{n}', \mathbf{n}'', \mathbf{n}'''} \frac{\hbar}{4} \left((1 - \delta_{\alpha, \beta}) u S_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} + v^{\alpha, \beta} P_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} \right) \hat{c}_{\alpha\mathbf{n}}^\dagger \hat{c}_{\beta\mathbf{n}'}^\dagger \hat{c}_{\beta\mathbf{n}''} \hat{c}_{\alpha\mathbf{n}'''} , \\ u &= 4\sqrt{2\pi} \sqrt{\omega_Z \omega_R} \frac{a_{ho}^-}{a_{ho}^R}, \quad v^{\alpha, \beta} = \frac{12}{\sqrt{2\pi}} \sqrt{\omega_Z \omega_R} \frac{b_{\alpha, \beta}^3}{a_{ho}^R{}^3}. \end{aligned} \quad (3)$$

Here $\hat{n}_{\alpha\mathbf{n}} = \hat{c}_{\alpha\mathbf{n}}^\dagger \hat{c}_{\alpha\mathbf{n}}$ is the atom number operator in mode \mathbf{n} and state α , $\delta_{\alpha, \beta}$ is a Kronecker delta function, $a_{ho}^R = \sqrt{\hbar/(m\omega_R)}$ is the radial harmonic oscillator length, and $E_{\mathbf{n}}$ are single-particle energies in the trap. We have used a Gaussian approximation for ϕ_0^Z , which is excellent for the deep lattice used in experiments. $\hbar\omega_Z = 2\sqrt{E_r V_Z}$ with E_r the recoil energy, $\hbar^2 k_{\text{lattice}}^2/(2m)$, k_{lattice} is the lattice beams' wave-number and V_Z is the lattice depth. The coefficients $S_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''}$ and $P_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''}$ characterize s - and p -wave matrix elements, respectively, which depend on the harmonic oscillator modes and satisfy $S_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} = S_{\mathbf{n}'\mathbf{nn}''\mathbf{n}'''}$ and $P_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} = -P_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} = -P_{\mathbf{n}'\mathbf{nn}''\mathbf{n}'''} = P_{\mathbf{n}'\mathbf{nn}''\mathbf{n}'''}$. Explicitly,

$$S_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} = s(n_X, n'_X, n''_X, n'''_X) s(n_Y, n'_Y, n''_Y, n'''_Y), \quad (4)$$

$$P_{\mathbf{nn}'\mathbf{n}''\mathbf{n}'''} = s(n_X, n'_X, n''_X, n'''_X) p(n_Y, n'_Y, n''_Y, n'''_Y) + p(n_X, n'_X, n''_X, n'''_X) s(n_Y, n'_Y, n''_Y, n'''_Y), \quad (5)$$

$$s(n, n', n'', n''') \equiv \frac{\int d\xi e^{-2\xi^2} H_n(\xi) H_{n'}(\xi) H_{n''}(\xi) H_{n'''}(\xi) d\xi}{\pi \sqrt{2^{n+n'+n''+n'''} n! n'! n''! n'''!}},$$

$$p(n, n', n'', n''')$$

$$= \frac{\int d\xi e^{-2\xi^2} \left[\left(\frac{d}{d\xi} H_n(\xi) \right) H_{n'}(\xi) - H_n(\xi) \left(\frac{d}{d\xi} H_{n'}(\xi) \right) \right] \left[\left(\frac{d}{d\xi} H_{n''}(\xi) \right) H_{n'''}(\xi) - H_{n''}(\xi) \left(\frac{d}{d\xi} H_{n'''}(\xi) \right) \right]}{\pi \sqrt{2^{n+n'+n''+n'''} n! n'! n''! n'''!}}.$$

Here $H_n(x)$ are Hermite polynomials.

In Fig. 3 we show the mode dependence of the functions $p(n, n', n, n')$ and $s(n, n', n, n')$. Since those are computed in the harmonic oscillator mode basis, they are long-range in mode-space. While the $p(n, n', n, n')$ function scales (for $|n - n'| \gg 1$) as $\sqrt{n + n'}$, and grows with increasing energy, as expected from p -wave interactions, the $s(n, n', n, n')$ function scales (for $|n - n'| \gg 1$) as $1/\sqrt{|n - n'|}$, and thus decreases with increasing energy. In Fig. 4, we also show the dependence of the mean and standard deviation of the p -wave interaction parameters $P_{\mathbf{nn}'\mathbf{nn}'}$ as a function of temperature (T). There one can see that $P_{\mathbf{nn}'\mathbf{nn}'}$ is almost T independent in this quasi-2D geometry. This is expected because while the actual p -wave interactions for fixed density should increase linearly with T [45], the latter growth is compensated by the linear decrease with T of the density in a 2D harmonic trap. Fig. 4 (bottom) shows a histogram of $P_{\mathbf{nn}'\mathbf{nn}'}$ which is peaked about its average value. The histogram was computed at $T = 5 \mu\text{K}$ but it is almost T independent.

For the laser-atom interaction Hamiltonian we follow Refs. [11,12,16–18] and assume that the probe is slightly misaligned with a small component along the X -direction:

$$\mathbf{k} = k(\sin \varphi \hat{X} + \cos \varphi \hat{Z}), \quad (6)$$

with $\varphi \ll 1$ the misalignment angle (see Fig. 2). We also assume we are in a regime in which laser induced sideband transitions can be neglected, and define a mode-dependent effective Rabi frequency given by

$$\Omega_{\mathbf{n}} = \Omega_0 L_{n_X}(\eta_X^2) L_0(\eta_Z^2) e^{-(\eta_Z^2 + \eta_X^2)/2}, \quad (7)$$

where $\eta_{X,Z} = k_{X,Z} a_{ho}^{R,Z}$ are the Lamb-Dicke parameters, $a_{ho}^\alpha = \sqrt{\frac{\hbar}{\omega_\alpha m}}$ and L_n are Laguerre polynomials [46]. In Fig. 4 we show the ratio between the mean Rabi frequency, $\bar{\Omega}$, and the standard deviation,

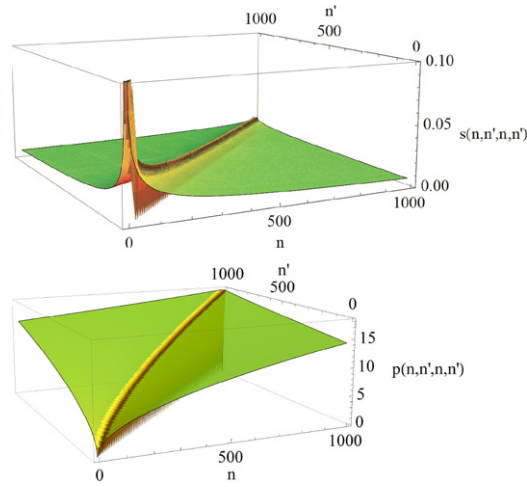


Fig. 3. (Color online) Mode dependence of the functions $s(n, n', n, n')$ and $p(n, n', n, n')$.

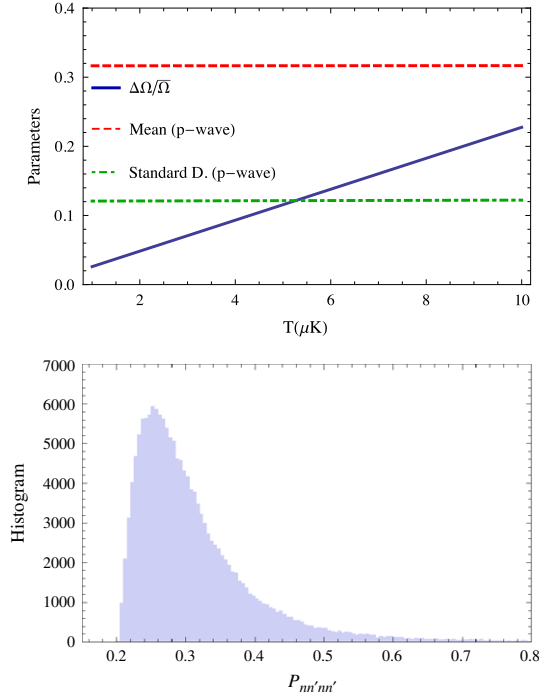


Fig. 4. (Color online) The top panel shows the mean (red–dashed line) and standard deviation (green–dot-dashed line) of the p -wave interactions vs temperature. It also shows $\Delta\Omega/\bar{\Omega}$ (blue–solid line) vs temperature. We used the Yb clock parameters with a misalignment of $\varphi = 5$ mrad. The bottom panel is a histogram of the $P_{nn'nn'}$ at $5 \mu\text{K}$. See Eq. (5).

$\Delta\Omega$, as a function of temperature. $\Delta\Omega$ increases with T since the atomic cloud spreads as it heats up. In the Lamb–Dicke regime, $\eta_{X,Z} \ll 1$, \hat{H}_1 becomes:

$$\hat{H}_1 = \sum_{\mathbf{n}} \frac{\hbar\Omega_{\mathbf{n}}}{2i} (\hat{c}_{\mathbf{g}\mathbf{n}}^\dagger \hat{c}_{\mathbf{e}\mathbf{n}} - \text{h.c.}), \tag{8}$$

Under typical operating conditions, $\nu_R \sim 450$ Hz, and as will be discussed below, the system is in the regime where its mean interaction energy per particle is about two orders of magnitude weaker than the energy splitting between neighboring single-particle transverse vibrational modes. We refer to this regime as the vibrational-weakly-interacting regime. Thus, to leading order, only collision events that conserve the total single-particle energy need to be considered. Under these conditions the atom population is frozen in the initially populated modes and only the electronic degrees of freedom g, e vary during the clock interrogation. For an initial state with at most one atom per mode ($|g\rangle$ -polarized state), it is possible under these conditions to reduce \hat{H} to a spin-1/2 model with the spin encoded in the g, e states. For N atoms and labeling the thermally populated harmonic oscillator modes as $\mathbf{n}_j = (n_{xj}, n_{yj})$ with $j \in \{1, 2, \dots, N\}$, the spin model can be written as:

$$\begin{aligned} \hat{H}_{\mathbf{n}}^S / \hbar = & -\delta \sum_{j=1}^N \hat{S}_{\mathbf{n}_j}^z - \sum_{j=1}^N \Omega_{\mathbf{n}_j} \hat{S}_{\mathbf{n}_j}^y + \sum_{j \neq j'}^N \left[J_{\mathbf{n}_j, \mathbf{n}_{j'}}^\perp (\vec{S}_{\mathbf{n}_j} \cdot \vec{S}_{\mathbf{n}_{j'}}) + \chi_{\mathbf{n}_j, \mathbf{n}_{j'}} \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_{j'}}^z \right] \\ & + \sum_{j \neq j'}^N \left[\frac{C_{\mathbf{n}_j, \mathbf{n}_{j'}}}{2} (\hat{S}_{\mathbf{n}_j}^z I_{\mathbf{n}_{j'}} + \hat{S}_{\mathbf{n}_{j'}}^z I_{\mathbf{n}_j}) + \frac{K_{\mathbf{n}_j, \mathbf{n}_{j'}}}{4} I_{\mathbf{n}_j} I_{\mathbf{n}_{j'}} \right]. \end{aligned} \quad (9)$$

Here $\vec{S}_{\mathbf{n}_j} = \frac{1}{2} \sum_{\alpha, \beta} \hat{c}_{\alpha \mathbf{n}_j}^\dagger \vec{\sigma}_{\alpha \beta} \hat{c}_{\beta \mathbf{n}_j}$, with $\sigma_{\alpha \beta}^{x,y,z}$ Pauli matrices and $I_{\mathbf{n}_j} = \sum_{\alpha, \beta} \hat{c}_{\alpha \mathbf{n}_j}^\dagger \hat{c}_{\beta \mathbf{n}_j}$ the identity matrix.

$$J_{\mathbf{n}_j, \mathbf{n}_{j'}}^\perp = \frac{V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg} - U_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg}}{2}, \quad (10)$$

$$\chi_{\mathbf{n}_j, \mathbf{n}_{j'}} = \frac{V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee} + V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{gg} - 2V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg}}{2}, \quad (11)$$

$$C_{\mathbf{n}_j, \mathbf{n}_{j'}} = \frac{(V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee} - V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{gg})}{2} \quad (12)$$

$$K_{\mathbf{n}_j, \mathbf{n}_{j'}} = \frac{(V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee} + V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{gg} + V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg} + U_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg})}{2}. \quad (13)$$

The quantities

$$V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha\beta} = v^{\alpha, \beta} P_{\mathbf{n}_j, \mathbf{n}_{j'}, \mathbf{n}_j, \mathbf{n}_{j'}} \equiv v^{\alpha, \beta} P_{\mathbf{n}_j, \mathbf{n}_{j'}}, \quad (14)$$

$$U_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg} = u S_{\mathbf{n}_j, \mathbf{n}_{j'}, \mathbf{n}_j, \mathbf{n}_{j'}} \equiv u S_{\mathbf{n}_j, \mathbf{n}_{j'}}, \quad (15)$$

encapsulate the temperature dependence of the interactions.

Note, however, that in the case of a pure harmonic spectrum, mode changing collisions are energetically allowed even under weak interactions due to (i) the linearity of the harmonic oscillator spectrum and (ii) the separability of the harmonic oscillator potential along the X and Y directions. Condition (i) allows two particles in modes (n_X, n_Y) and (m_X, m_Y) to collide and scatter into modes $(n_X + k, n_Y + k')$ and $(m_X - k, m_Y - k')$ without violating the energy conservation constraint. Condition (ii) allows the same two particles to scatter into modes (n_X, m_Y) and (m_X, n_Y) . Those issues, in principle, can impose important limitations on the validity of the spin model in a harmonic trap. In practice, however, the trapping potential is not fully harmonic. It comes from the Gaussian beam

profile of the lasers and is given by $V_R \approx -Ae^{-\frac{2R^2}{w_0^2}}$ with w_0 the beam waist. To leading order, the trapping potential is harmonic $V_R \sim \frac{m\omega_R^2}{2} R^2$ but for an atom in a mode $\{n_X, n_Y\}$ there are higher order corrections of the energy beyond leading order: $E_{\mathbf{n}} = \hbar\omega_R(n_X + n_Y + 1) + \Delta E_{\mathbf{n}}$, with $\Delta E_{\mathbf{n}} \sim \hbar\omega_R \left(2 \frac{a_R^2}{w_0^2}\right)^2 (3(n_X^2 + n_Y^2) + 4n_X n_Y + 5(n_X + n_Y + 1))$. At typical operating conditions of the Yb and Sr experiments: $\omega_R \sim 2\pi \times 450$ Hz, $w_0 \sim 30 - 100$ μm , $T > 1$ μK , and a mean occupation mode number $\bar{n}_{X,Y} > 50$, the difference of $\Delta E_{\mathbf{n}}$ for nearby modes is larger than $2\pi \times 10$ Hz which is not

negligible compared to typical interaction energy scales \sim Hz. The first term in $\Delta E_{\mathbf{n}}$ thus prevents processes (i), while the second term, which breaks the separability of the potential, prevents processes (ii). Based on this argument we first restrict our analysis to only processes that conserve the number of particles per mode.

A further simplification of Eq. (9) can be made when atoms are initially prepared in the totally symmetric Dicke manifold with $S = N/2$ [47] at time $t = 0$. Here, $S(S + 1)$ is the eigenvalue of the collective operator $\vec{S} \cdot \vec{S}$ and $\hat{S}^{\tau=x,y,z} = \sum_{j=1}^N \hat{S}_{\mathbf{n}_j}^{\tau}$.

In this case there are two important physical mechanisms that prevent leakage of the population outside the symmetric Dicke manifold. (i) The weak dependence of the interaction matrix elements on the thermally populated modes so that the mode-dependent coupling constants $J_{\mathbf{n}_j, \mathbf{n}_j'}^{\perp}$, $\chi_{\mathbf{n}_j, \mathbf{n}_j'}$,

and $C_{\mathbf{n}_j, \mathbf{n}_j'}$ are peaked at their averages $J_{\mathbf{n}}^{\perp} = \frac{\sum_{j \neq j'} J_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\perp}}{N(N-1)}$, $\chi_{\mathbf{n}} = \frac{\sum_{j \neq j'} \chi_{\mathbf{n}_j, \mathbf{n}_{j'}}}{N(N-1)}$ and $C_{\mathbf{n}} = \frac{\sum_{j \neq j'} C_{\mathbf{n}_j, \mathbf{n}_{j'}}}{N(N-1)}$

(See Figs. 3–4). (ii) The fact that $J_{\mathbf{n}}^{\perp} \gg \Delta \chi_{\mathbf{n}}, \Delta C_{\mathbf{n}}$. Here $\Delta \chi_{\mathbf{n}}, \Delta C_{\mathbf{n}}$ are the corresponding standard deviations. The latter is satisfied in part because $J_{\mathbf{n}}^{\perp}$ is the only interaction term that has a contribution arising from s -wave interactions. In general, those are expected to dominate over p -wave interactions since p -wave collisions are suppressed by the centrifugal barrier which is estimated to be greater than $\sim 25 \mu\text{K}$ [11, 19]. It must be said, nevertheless, that the actual values of the s -wave and p -wave scattering parameters between two e atoms and one e and one g are not known. (i) and (ii) impose a large energy gap in the Hamiltonian which suppresses transitions between manifolds with different total collective spin S , caused by the inhomogeneities $\Delta \chi$ and ΔC [48]. Consequently, to a very good approximation it is expected that the dynamics can be projected into the $S = N/2$ manifold with an effective Hamiltonian given in terms of collective operators:

$$\hat{H}_T^S = \hat{H}^S + \hat{H}_{\Omega}^S \quad (16)$$

$$\hat{H}^S / \hbar = -\delta \hat{S}^z + J_{\mathbf{n}}^{\perp} \vec{S} \cdot \vec{S} + \chi_{\mathbf{n}} (\hat{S}^z)^2 + C_{\mathbf{n}} (N - 1) \hat{S}^z,$$

$$\hat{H}_{\Omega}^S = -\hbar \vec{\Omega} \hat{S}^y. \quad (17)$$

The term $\vec{S} \cdot \vec{S}$ is a constant of motion and does not play any role in the collective dynamics.

In addition to the above conditions on the interactions, staying in the $S = N/2$ manifold requires that the probing laser generates negligible excitation inhomogeneity, *i.e.* $\Delta \Omega / \bar{\Omega} \ll 1$. In this case we can write the atom–light Hamiltonian in terms of collective operators. The validity of this condition depends on the misalignment angle, φ [Eq. (6)], and the average vibrational mode population [12]. At the current operating conditions, the JILA Sr clock can achieve $\Delta \Omega / \bar{\Omega} < 0.1$ [22], and the collective mode approximation for the atom–light Hamiltonian is well satisfied. The latter is not necessarily the case for the Yb optical clock experiment at NIST due to the higher temperatures, as we will elaborate later. The restriction of the dynamics to the Dicke manifold is relaxed in Section 6 where we also investigate the parameter regime in which it is valid.

3. Ramsey interrogation: collective case

In Ramsey spectroscopy (see Fig. 5), a well established tool in atomic physics, atoms are typically prepared in the same internal state, say g (e.g. via optical pumping). Next, one applies a strong resonant linearly polarized light pulse for time t_1 . By strong, we mean that the Rabi frequency must be much larger than the atomic interaction energy scales but weaker than the harmonic oscillator frequency, ν_R , to avoid laser induced mode changing processes.

This first pulse rotates the spin state of the atom at mode \mathbf{n} to $\cos(\theta_1^{\mathbf{n}})|g\rangle + \sin(\theta_1^{\mathbf{n}})|e\rangle$, here $\theta_1^{\mathbf{n}} = \Omega_{\mathbf{n}} t_1$ is the pulse area. Subsequently, the atoms are allowed to freely evolve for a dark time τ . Finally a second pulse is applied for a time t_2 and the population of the e, g states measured.

In the following, we will discuss the case of a fixed number of atoms, N , prepared in the modes $\vec{\mathbf{n}} = \{\mathbf{n}_1, \dots, \mathbf{n}_N\}$, and only later we will worry about spatial and thermal averages. Note that under the collective-mode approximation $\theta_{1,2} = \bar{\Omega} t_{1,2}$.

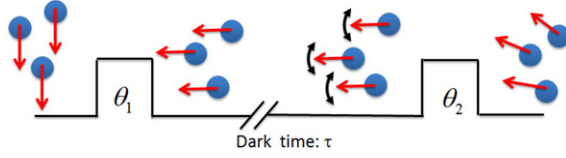


Fig. 5. (Color online) In Ramsey spectroscopy two short pulses of area θ_1 and θ_2 are applied, separated by a dark time τ .

3.1. Analytic solution

Let us first start dealing with the situation in which there is no excitation inhomogeneity and the initial (second) pulses are just rotations of the collective Bloch vector by an angle θ_1 (θ_2), respectively. In this case, the state of the system before the free dynamics is

$$|\psi(0^-)\rangle = \sum_{k=0}^N \sqrt{\binom{N}{k}} \left(\cos \frac{\theta_1}{2}\right)^{N-k} \left(\sin \frac{\theta_1}{2}\right)^k |N/2, k - N/2\rangle,$$

with $|N/2, M\rangle$ being collective Dicke states. During the free evolution, the Hamiltonian reduces to $\chi_{\vec{n}}(\hat{S}^z)^2 + C_{\vec{n}}(N - 1)S^z$ which introduces just a phase, $e^{-i\tau[\chi_{\vec{n}}(M)^2 + C_{\vec{n}}(N-1)M]}$ to each of the $|N/2, M\rangle$ states. Here M is an eigenvalue of S^z and takes integer or half-integer values (depending on whether N is even or odd) satisfying $-N \leq 2M \leq N$. Expressions for the evolution of the spin operators after a dark-time evolution τ can be exactly computed:

$$\langle \hat{S}^x \rangle = -\frac{N \sin(\theta_1)}{2} Z_{\vec{n}}^{N-1} \cos[\tau(\delta - 2\pi \Delta\nu_{\vec{n}})], \tag{18}$$

$$\langle \hat{S}^y \rangle = -\frac{N \sin(\theta_1)}{2} Z_{\vec{n}}^{N-1} \sin[\tau(\delta - 2\pi \Delta\nu_{\vec{n}})], \tag{19}$$

$$\langle \hat{S}^z \rangle = -\frac{N \cos \theta_1}{2}, \tag{20}$$

with $Z_{\vec{n}}$ and $\zeta_{\vec{n}}$ and $\Delta\nu_{\vec{n}}$ given by

$$Z_{\vec{n}}^2 \equiv 1 - \sin^2(\theta_1) \sin^2(\chi_{\vec{n}}\tau), \tag{21}$$

$$\tan(\zeta_{\vec{n}}\tau) \equiv \tan(\chi_{\vec{n}}\tau) \cos(\theta_1), \tag{22}$$

$$2\pi \Delta\nu_{\vec{n}} = (N - 1)(C_{\vec{n}} - \zeta_{\vec{n}}). \tag{23}$$

The normalized contrast, which is a measure of the amplitude of the Ramsey fringes, is defined as the magnitude of the projection of the collective Bloch vector on the x - y plane normalized by half of the total number of atoms:

$$c \equiv \frac{2\sqrt{\langle \hat{S}^x \rangle^2 + \langle \hat{S}^y \rangle^2}}{N}, \tag{24}$$

from the above expression one obtains that

$$c = |\sin(\theta_1) Z_{\vec{n}}^{N-1}|. \tag{25}$$

The contrast is extracted from measurements of the fraction of excited atoms, $N_{e,\vec{n}}(t_1, t_2)/N$ by varying the laser detuning, or by varying the phase of the second pulse along the x - y plane. For the former case:

$$c = \frac{1}{N \sin(\theta_2)} \sqrt{\left(N_{e,\vec{n}}|_{\delta=0} - N_{e,\vec{n}}|_{\tau\delta=\pi}\right)^2 + \left(N_{e,\vec{n}}|_{\delta=0} + N_{e,\vec{n}}|_{\tau\delta=\pi} - 2N_{e,\vec{n}}|_{\tau\delta=\pi/2}\right)^2} \tag{26}$$

$$N_{e,\bar{n}} = \frac{N}{2} + \cos(\theta_2) \langle \hat{S}^z \rangle - \langle \hat{S}^x \rangle \sin(\theta_2). \quad (27)$$

Let us now discuss the physics encapsulated in Eqs. (18)–(20). The term $\Delta\nu_{\bar{n}}$ is the so called density-dependent frequency shift, which gives rise to a density-dependent measurement of the atomic transition frequency. The quantity $Z_{\bar{n}}^{N-1}$ determines the contrast of the Ramsey fringes. In the weakly interacting regime, $\chi_{\bar{n}}\tau \ll 1$, and for $N \gg 1$, $2\pi \Delta\nu_{\bar{n}} \approx (NC_{\bar{n}} + 2\chi_{\bar{n}}\langle \hat{S}^z \rangle)$. This means that interactions act as an effective magnetic field along the quantization axis with magnitude $B_{\text{eff}} = (NC_{\bar{n}} + 2\chi_{\bar{n}}\langle \hat{S}^z \rangle)$, which depends both on the total atom number and the population difference between excited and ground states. This is consistent with just a simple interpretation of the frequency shift as being the average energy difference experienced by an atom in state e with respect to an atom in state g due to the presence of other atoms. As we explain in Section 3.2, this can be derived from a mean field analysis which factorizes the interaction term as $\langle (\hat{S}^z)^2 \rangle \propto \hat{S}^z \langle \hat{S}^z \rangle$. In this regime, the condition $\cos(\theta_1^{\text{opt}}) = C_{\bar{n}}/\chi_{\bar{n}}$ determines the pulse area θ_1^{opt} at which the shift is canceled. This is the ideal operating pulse area for a clock [20]. Note that if $C_{\bar{n}}$ is equal to zero, no density shift is expected at $\langle \hat{S}^z \rangle = 0$. This is consistent with the intuition that due to the equal population of both e and g states, the mean energy shift experienced by an atom in state e due to others is exactly canceled by the opposite energy shift experienced by an atom in g . In this weakly interacting regime, $Z_{\bar{n}} \approx 1$ as expected in the case that interactions act as a mere effective magnetic field, which causes the Bloch vector just to precess with no Ramsey fringe-contrast decay.

Outside the weakly interacting regime, two important corrections to this picture arise. One is the fact that the shift is no longer linear in $\langle \hat{S}^z \rangle$ (from Eq. (22)). The second one is that the Ramsey fringe-contrast collapses and revives. The collapse is well approximated by a Gaussian decay, $Z_{\bar{n}}^N \sim e^{-N/2 \sin^2(\theta_1) \chi_{\bar{n}}^2 \tau^2}$. The revivals take place at times $\chi_{\bar{n}} T_n = n\pi$ with n an integer. This behavior of the contrast is closely linked to the decay of coherence in a matter-wave due to the nonlinearities arising from the atom–atom interactions and subsequent revival due to the discreteness of the spectrum of the many-body system. The observation of collapses and revivals of a Bose–Einstein condensate (BEC) loaded in an optical lattice was first reported in Ref. [49].

3.2. Mean-field solution

Even though the all-to-all interactions allow for an exact solution of the many-body dynamics in Ramsey spectroscopy, it is convenient to introduce an approximate mean-field treatment. The mean-field treatment will be very helpful for dealing with inelastic collisions, which are experimentally relevant. A simple and enlightening way to carry out a mean-field treatment is to use the Schwinger-boson representation, which maps spin operators to two-mode bosons subject to a constraint [50]. It represents the spin operators as

$$2\hat{S}^z = \hat{\Psi}_e^\dagger \hat{\Psi}_e - \hat{\Psi}_g^\dagger \hat{\Psi}_g, \quad (28)$$

$$\hat{S}^+ = \hat{\Psi}_e^\dagger \hat{\Psi}_g, \quad (29)$$

$$\hat{S}^- = \hat{\Psi}_g^\dagger \hat{\Psi}_e, \quad (30)$$

with the constraint

$$N = \hat{\Psi}_e^\dagger \hat{\Psi}_e + \hat{\Psi}_g^\dagger \hat{\Psi}_g, \quad (31)$$

with $\hat{\Psi}_\alpha$ a bosonic annihilation operator of mode $\alpha = e, g$. The mean-field treatment, which gives rise to the so-called Gross–Pitaevskii Equation (GPE) [51], replaces the field operators $\hat{\Psi}_{e,g}$ by c -numbers, $\hat{\Psi}_{e,g} \rightarrow \Phi_{e,g}$. The latter approximation is justified in the weakly interacting regime when there is a macroscopic population of those modes and the state of the system can be regarded as a simple product state with no significant entanglement. Translated to the spin language, those conditions imply that the system can be well described as a spin coherent state. For purposes that will become

clearer later, it is convenient to introduce the density matrix $\rho_{\alpha\alpha} = N_{\alpha}$ and $\rho_{\alpha\beta} = \Phi_{\alpha}^* \Phi_{\beta}$. The latter satisfies the following equations of motion:

$$\frac{\partial}{\partial t} \langle \hat{S}^z \rangle^{\text{GPE}} = \bar{\Omega} \langle \hat{S}^x \rangle^{\text{GPE}}, \tag{32}$$

$$\frac{\partial}{\partial t} \rho_{eg} = \frac{\partial}{\partial t} \rho_{ge}^* \equiv M_{eg}, \tag{33}$$

$$M_{eg} \equiv -\bar{\Omega} \langle \hat{S}^z \rangle^{\text{GPE}} - i \left[\delta - N C_{\bar{n}} - 2\chi_{\bar{n}} \langle \hat{S}^z \rangle^{\text{GPE}} \right] \rho_{eg}. \tag{34}$$

Note $\rho_{ge} = \rho_{eg}^*$ and

$$\langle \hat{S}^z \rangle^{\text{GPE}} = \frac{\rho_{ee} - \rho_{gg}}{2}, \tag{35}$$

$$\langle \hat{S}^x \rangle^{\text{GPE}} = \frac{\rho_{eg} + \rho_{ge}}{2}, \tag{36}$$

$$\langle \hat{S}^y \rangle^{\text{GPE}} = \frac{\rho_{eg} - \rho_{ge}}{2i}, \tag{37}$$

are the components of the Bloch vector.

For the Ramsey dynamics, the mean-field treatment is almost trivial, and time evolution corresponds to a precession of the Bloch vector induced by an effective magnetic field

$$B_{\bar{n}}^{\text{eff}} = 2\pi \Delta \nu_{\bar{n}}^{\text{GPE}} = N [C_{\bar{n}} - \chi_{\bar{n}} \cos(\theta_1)]. \tag{38}$$

This behavior can be clearly seen in Eq. (33) by noticing that during the dark time $\bar{\Omega} = 0$, thus $\langle \hat{S}^z \rangle^{\text{GPE}}$ is a constant of motion, and ρ_{eg} precesses at a rate $\delta - 2\pi \Delta \nu_{\bar{n}}^{\text{GPE}}$. Note that in striking disagreement to the exact many-body solution, at mean-field level, the Ramsey fringe contrast never decays [52]. This implies that it agrees with the exact solution only when $Z_{\bar{n}}^N - 1 \ll 1$, which can be satisfied when $\sqrt{N} \sin(\theta_1) \chi_{\bar{n}} \tau \ll 1$, i.e. short times, weak interactions, or small pulse areas.

3.3. Truncated Wigner Approximation

The truncated Wigner Approximation (TWA) has proven to be a successful approach to incorporate the leading quantum corrections to the mean-field dynamics. See, for example, Refs. [26,27] and references therein. To implement the TWA, one needs to solve the mean-field equations of motion supplemented by random initial conditions distributed according to the Wigner function. For a spin coherent state with $S = N/2$, pointing initially in the x - z plane, $\hat{n}_{\theta_1} = (\sin \theta_1, 0, -\cos \theta_1)$, the Wigner function is given by:

$$\wp(S_0^x, S_0^y, S_0^z) = \left(\frac{1}{\pi S} \right) \delta(S_0^z \cos \theta_1 - S_0^x \sin \theta_1 + S) e^{-\frac{(S_0^y)^2}{S}} e^{-\frac{(S_0^z \sin \theta_1 + S_0^x \cos \theta_1)^2}{S}}. \tag{39}$$

This Wigner function has a transparent interpretation. For example, if the Bloch vector points along the direction $-\hat{z}$, i.e. $\theta_1 = 0$, because of the uncertainty principle, the transverse components still fluctuate so that $\langle \hat{S}^y \hat{S}^y \rangle = \langle \hat{S}^x \hat{S}^x \rangle = S/2$. Quantum mechanical expectation values of the spin operators relevant for this work can be computed as $\langle \mathcal{O}(\tau) \rangle = \int dS_0^x dS_0^y dS_0^z \langle \mathcal{O}(\tau) \rangle \wp(S_0^x, S_0^y, S_0^z)$ with $\langle \mathcal{O}(\tau) \rangle$ the classical evolution of the observable calculated using the mean-field equations.

Using this prescription we obtain:

$$\overline{\langle \hat{S}^x(\tau) \rangle} = \text{Re}[\overline{\langle \hat{S}^+(\tau) \rangle}], \tag{40}$$

$$\overline{\langle \hat{S}^y(\tau) \rangle} = \text{Im}[\overline{\langle \hat{S}^+(\tau) \rangle}], \tag{41}$$

$$\overline{\langle \hat{S}^z(\tau) \rangle} = -\frac{N \cos \theta_1}{2}, \tag{42}$$

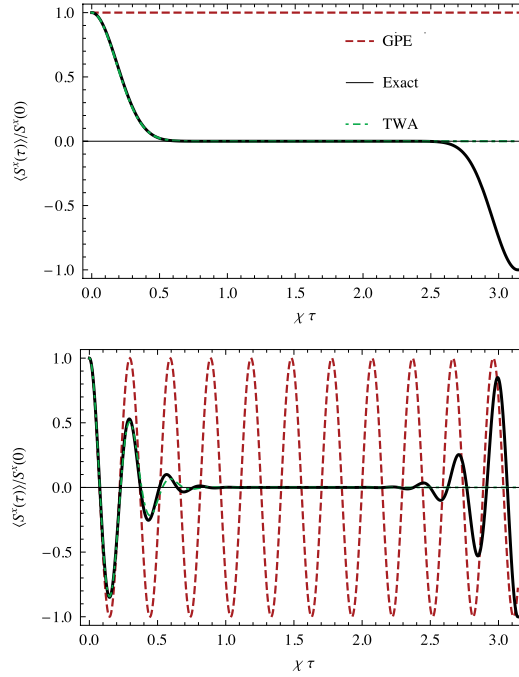


Fig. 6. (Color online) Comparisons between the GPE (mean-field, red–dashed line), the TWA (green–dot-dashed line) and the exact solution (black–solid line). Here we assumed $N = 30$ and $C_{\bar{n}} = \delta = 0$. The top plot is for $\theta_1 = \pi/2$, and the lower plot for $\theta_1 = \pi/4$.

$$\overline{\langle \hat{S}^+(\tau) \rangle} = -\frac{N \sin(\theta_1)}{2} (1 + i\chi_{\bar{n}}\tau \cos[\theta_1]) e^{-\frac{N}{2}\chi_{\bar{n}}^2\tau^2 \sin^2(\theta_1)} e^{i\tau(\delta - 2\pi\Delta\nu_{\bar{n}}^{\text{GPE}})}. \quad (43)$$

Here Re and Im correspond to the real and imaginary parts, respectively. The essential physics is encapsulated in the term that exhibits a Gaussian decay, proportional to $e^{-\frac{N}{2}\chi_{\bar{n}}^2\tau^2 \sin^2(\theta_1)}$, and in the phase shift, proportional to $2\pi\Delta\nu_{\bar{n}}^{\text{GPE}}$. The exponential term leads to a decay of the Ramsey fringe contrast missing at the mean-field level. Note that in addition to the exponential decay of the contrast and the mean-field phase shift, there is an extra term, $i\chi_{\bar{n}}\tau \cos[\theta_1]$ in Eq. (43). For $|\chi_{\bar{n}}\tau| < 1$, this term just introduces a finite N correction (*i.e.* replaces $N \rightarrow N - 1$) in the phase shift, irrelevant in the large- N limit. The TWA approximation, nevertheless, fails to reproduce the periodic revivals of the coherence, which take place in the exact solution. However, for current experimental probing times, which are much shorter than the revival time, the TWA is an excellent alternative for capturing the quantum dynamics. Fig. 6 shows comparisons between the GPE, the TWA and the exact solution for different pulse areas and for $N = 30$. The power of the TWA is demonstrated in its capability to fully capture the decay of the Ramsey fringe contrast. We note that other approximation methods, typically used to account for quantum fluctuations beyond mean-field, such as the time-dependent Bogoliubov approximation, generally fail to reproduce the decay of quantum coherences [53]. Moreover, as we will show below in Section 4, the TWA can be straightforwardly generalized to deal with the many-body dynamics of an open quantum system.

4. Ramsey interrogation: including losses

4.1. Master equation

The Hamiltonian formulation described above is valid only for a closed system. To account for losses due to inelastic e - e or e - g collisions, recently observed in experiments [21,20], one needs to

use instead a master equation:

$$\hbar \frac{d}{dt} \hat{\rho} = -i[\hat{H}_{\mathbf{n}}^S, \hat{\rho}] + \mathcal{L} \hat{\rho}. \quad (44)$$

Here $\hat{\rho}$ is the reduced density matrix operator of the many-body system. $\hat{H}_{\mathbf{n}}^S$ is the Hamiltonian given by Eq. (9), and \mathcal{L} is a Lindbladian superoperator that accounts for inelastic processes. Considering p -wave e - e and e - g losses (s -wave losses do not occur if one restricts the dynamics to the fully symmetric manifold [54] as we will assume below), \mathcal{L} is given by

$$\begin{aligned} \frac{\mathcal{L}}{\hbar} = & \sum_{j \neq j', \alpha = ee, eg} \Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha} \left[\hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha} \hat{\rho} (\hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha})^{\dagger} \right] \\ & - \sum_{j \neq j', \alpha = ee, eg} \frac{\Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha}}{2} \left[(\hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha})^{\dagger} \hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}} \hat{\rho} + \hat{\rho} (\hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}}^{\alpha})^{\dagger} \hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}} \right]. \end{aligned} \quad (45)$$

Here the jump operators are $\hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee} = \hat{c}_{e\mathbf{n}_j} \hat{c}_{e\mathbf{n}_{j'}}$ and $\hat{A}_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg} = (\hat{c}_{e\mathbf{n}_j} \hat{c}_{g\mathbf{n}_{j'}} + \hat{c}_{g\mathbf{n}_j} \hat{c}_{e\mathbf{n}_{j'}}) / \sqrt{2}$, while $\Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee, eg} = \gamma^{ee, eg} P_{\mathbf{n}_j, \mathbf{n}_{j'}}$. The expression for $\gamma^{ee, eg}$ is identical to $v^{e, e}$ and $v^{e, g}$ respectively (see Eq. (3)) up to the replacement of the p -wave elastic scattering volume by the inelastic one.

The last two terms in Eq. (45) can be thought of as generating an effective Hamiltonian, in which the terms $\{V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee}, V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg}\}$ are replaced by $\{(V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee} - \frac{i}{2} \Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{ee}), (V_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg} - \frac{i}{2} \Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{eg})\}$ respectively. On the other hand, the first term in Eq. (45), the so-called recycling term, requires a full density matrix formulation and thus the dimension of the Hilbert space grows much faster with N than the corresponding dimension of the pure Hamiltonian case. For example just for 4 particles the required Hilbert space is $\sim 10^3$ states. Nevertheless, keeping the first term is crucial to conserve the trace of the density matrix.

An important point to keep in mind is that the recycling term connects sectors of the Hilbert space with different atom numbers. However, since the environment knows how many particles left the system, there are no coherences between sectors of different atom numbers and the master equation can be solved in a “block-diagonal way” [55].

The master equation can be further simplified under the collective mode approximation. Let $\rho_{\mathcal{N}}$ be the density matrix for a single sector of \mathcal{N} particles, and let us assume that, at time $t = 0$, we start in the sector with $\mathcal{N} = N$ particles. As explicitly shown in Appendix A, to solve for the master-equation dynamics, we need to solve a series of differential equations for each of the subspaces with cascading atom numbers. The sector $\mathcal{N} = N$ does not have driving terms and can be solved by merely solving the effective Hamiltonian dynamics. We then use the integrated solutions in the $\mathcal{N} = N$ sector as driving terms for $\mathcal{N} = N - 2$, the latter for $\mathcal{N} = N - 4$, etc. After the dynamics of all the density matrix sectors are known, one can compute any observable. For $N \leq 50$, the above procedure can be efficiently performed numerically.¹

4.2. Mean-field treatment

At the mean-field level, accounting for losses is significantly simpler. We assume that the reduced density matrix of the many-body system can be factorized as:

$$\hat{\rho} = \bigotimes_j \hat{\rho}_{\vec{\mathbf{n}}_j} \quad \hat{\rho}_{\vec{\mathbf{n}}_j} \equiv \sum_{\alpha, \beta = e, g, 0} \tilde{\rho}_{\alpha, \beta}^j |\alpha\rangle \langle \beta|. \quad (46)$$

Here $\hat{\rho}_{\vec{\mathbf{n}}_j}$ is the reduced density matrix of the atom in mode $\vec{\mathbf{n}}_j$. Here g, e label the two possible spin states of the atom and 0 is the vacuum.

By using this ansatz in Eq. (45), one can write closed equations of motion for $\tilde{\rho}_{\alpha, \beta}^j$. At the mean-field level, the equations of motion for $\tilde{\rho}_{\alpha, \beta}^j$ for $\alpha, \beta \in (e, g)$ are fully decoupled from the ones for $\tilde{\rho}_{\alpha, 0}^j$

¹ At the highest operating densities of current optical clock experiments a cut-off of 50 atoms per lattice site is sufficient.

and $\tilde{\rho}_{0,\alpha}^j$, meaning that if there are not particle–hole coherences initially in the system, they do not develop in the mean-field dynamics. Thus the only role the recycling terms play in the dynamics is to populate the vacuum state, which can be accounted for by the constraint: $\sum_{\alpha=e,g} \tilde{\rho}_{\alpha,\alpha}^j = 1 - \tilde{\rho}_{0,0}^j$.

When the recycling terms do not contribute to the equations of motion of $\tilde{\rho}_{\alpha,\beta}^j$, the effect of the losses can be incorporated by adding to the Hamiltonian a non-Hermitian effective part. For the case of all-to-all interactions, the total net Hamiltonian that determines the mean-field dynamics, including single-particle terms and both elastic and inelastic collisions, can be written in terms of the collective variables $\rho_{\alpha,\beta} = \sum_{j=1}^N \tilde{\rho}_{\alpha,\beta}^j$ as:

$$H_{\mathbf{n}}^{MF} / \hbar = -\frac{\delta}{2}(\rho_{ee} - \rho_{gg}) - \frac{\tilde{\Omega}}{2i}(\rho_{eg} - \rho_{ge}) - \frac{\chi_{\mathbf{n}}}{4}(\rho_{ee} - \rho_{gg})^2 + \frac{C_{\mathbf{n}}}{2}(\rho_{ee}^2 - \rho_{gg}^2) - i\frac{\Gamma_{\mathbf{n}}^{e,e}}{4}\rho_{ee}^2 - i\frac{\Gamma_{\mathbf{n}}^{e,g}}{2}\rho_{ee}\rho_{gg}, \quad (47)$$

with $\Gamma_{\mathbf{n}}^{e,g} = \frac{\sum_{j \neq j'} \Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{e,g}}{N(N-1)}$ and $\Gamma_{\mathbf{n}}^{e,e} = \frac{\sum_{j \neq j'} \Gamma_{\mathbf{n}_j, \mathbf{n}_{j'}}^{e,e}}{N(N-1)}$.

The collective density matrix equations of motion obtained from that Hamiltonian, Eq. (47), are:

$$\frac{\partial}{\partial t} \rho_{gg} = -\frac{\tilde{\Omega}}{2}(\rho_{eg} + \rho_{ge}) - \Gamma_{\mathbf{n}}^{e,g} \rho_{ee} \rho_{gg}, \quad (48)$$

$$\frac{\partial}{\partial t} \rho_{ee} = \frac{\tilde{\Omega}}{2}(\rho_{eg} + \rho_{ge}) - \Gamma_{\mathbf{n}}^{e,e} \rho_{ee}^2 - \Gamma_{\mathbf{n}}^{e,g} \rho_{ee} \rho_{gg}, \quad (49)$$

$$\frac{\partial}{\partial t} \rho_{eg} = M_{eg} - \left[\frac{\Gamma_{\mathbf{n}}^{e,e}}{2} \rho_{ee} + \frac{\Gamma_{\mathbf{n}}^{e,g}(\rho_{ee} + \rho_{gg})}{2} \right] \rho_{eg}. \quad (50)$$

Note the term M_{eg} gives the mean-field dynamics of ρ_{eg} in the absence of losses and was defined in Eq. (34). The terms proportional to $\Gamma_{\mathbf{n}}^{e,g}$ and $\Gamma_{\mathbf{n}}^{e,e}$ give rise to classical rate equations for the population in the presence of two-body losses.

4.3. Truncated Wigner approximation

Following a similar procedure to the one explained for the Hamiltonian case without decay, one can include quantum correlations by first solving the mean-field equations of motion determined by Eqs. (48)–(50) supplemented by random initial conditions distributed according to the Wigner distribution, Eq. (39). In Fig. 7, we compare the solution of the exact many-body master equation, the mean-field, and the TWA with losses. We can see some difference between the TWA and the master equation for $N = 30$, but overall the TWA does an excellent job reproducing the full dynamics.

5. Improved spin model: including virtual motional excitations

5.1. Resonant terms

As discussed in Section 2.3, in a pure harmonic spectrum, mode changing collisions are energetically allowed and impose important limitations on the validity of the spin model. However, when one takes into account the Gaussian shape of the actual potential, corrections to the harmonic spectrum are at the level of the interaction energy at current experimental conditions and are enough to prevent mode-changing collisions. Consequently, resonant transitions arising from the perfect linearity of a harmonic oscillator spectrum can be treated as off-resonant. Off-resonant terms, nevertheless, can provide corrections to the dynamics predicted by the spin model, and, in this section, we explain a way to incorporate those in the dynamics.

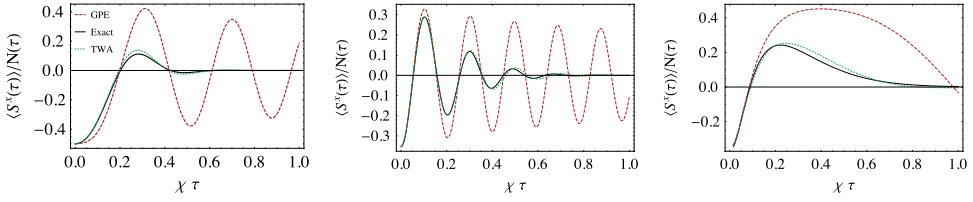


Fig. 7. (Color online) Comparisons between the GPE (red–dashed line), the TWA (green–dotted line) and exact solution (black–solid line). Here we assumed $N = 30$, $C_{\mathbf{n}} = \delta = 0$, $I_{\mathbf{n}}^{e,e} = \chi_{\mathbf{n}}/3$, and $I_{\mathbf{n}}^{e,g} = 0$. The plots are for $\theta_1 = \pi/2$ (left), $\theta_1 = \pi/4$ (middle) and $\theta_1 = 3\pi/4$ (right).

5.2. Off-resonant terms

The spin Hamiltonian neglects collision processes that do not preserve the single-particle energy. However, off-resonant collisions can still take place virtually and will introduce corrections to the spin model. To account for those, we split the N -particle Hilbert space into the resonant, Σ , and off-resonant, Υ , manifolds respectively, spanned by the states:

$$\begin{aligned} |\Phi_{\sigma_{\mathbf{n}}}^{\Sigma}\rangle &= |\sigma_{\mathbf{n}_1}, \sigma_{\mathbf{n}_2}, \dots, \sigma_{\mathbf{n}_N}\rangle, & E_0^{\text{tot}} &\equiv \sum_{j=1}^N E_{\mathbf{n}_j}, \\ |\Psi_{\sigma_{\mathbf{k}}}^{\Upsilon}\rangle &= |\sigma_{\mathbf{k}_1}, \sigma_{\mathbf{k}_2}, \dots, \sigma_{\mathbf{k}_N}\rangle, & E_{\mathbf{k}}^{\text{tot}} &\equiv \sum_{j=1}^N E_{\mathbf{k}_j} \neq E_0^{\text{tot}}. \end{aligned} \quad (51)$$

These states are written in the occupation basis and $\sigma \in \{g, e\}$. Since the same mode \mathbf{k}_j can be occupied simultaneously by a g atom and an e atom, $g_{\mathbf{k}_j}$ and $e_{\mathbf{k}_j}$ can occur simultaneously in $|\Psi_{\sigma_{\mathbf{k}}}^{\Upsilon}\rangle$.

The spin Hamiltonian was obtained by directly projecting the interaction part of the many-body Hamiltonian on Σ . An effective Hamiltonian that accounts for the leading order corrections generated by virtual occupation of off-resonant states can be derived by using the Schrieffer–Wolff transformation [56]:

$$\hat{H}_{\mathbf{n}}^{\text{eff}} = \hat{H}_{\mathbf{n}}^{\Sigma} + \hat{H}_{\mathbf{n}}^{\Sigma_2}. \quad (52)$$

Here, $\hat{H}_{\mathbf{n}}^{\Sigma}$ is the spin model given by Eq. (9). $\hat{H}_{\mathbf{n}}^{\Sigma_2}$ is obtained via second-order perturbation theory as follows:

$$\langle \Phi_{\sigma_{\mathbf{n}}}^{\Sigma} | \hat{H}^{\Sigma_2} | \Phi_{\sigma'_{\mathbf{n}}}^{\Sigma} \rangle = - \sum_{\sigma_{\mathbf{k}}} \frac{\langle \Phi_{\sigma_{\mathbf{n}}}^{\Sigma} | \hat{H} | \Psi_{\sigma_{\mathbf{k}}}^{\Upsilon} \rangle \langle \Psi_{\sigma_{\mathbf{k}}}^{\Upsilon} | \hat{H} | \Phi_{\sigma'_{\mathbf{n}}}^{\Sigma} \rangle}{E_{\mathbf{k}}^{\text{tot}} - E_0^{\text{tot}}}. \quad (53)$$

Here \hat{H} is the interaction part of Eq. (3).

Since $\hat{H}_{\mathbf{n}}^{\Sigma_2}$ acts on the Σ subspace, it is convenient to explicitly write it in terms of spin operators. To accomplish that, we divide the states $|\Psi_{\sigma_{\mathbf{k}}}^{\Upsilon}\rangle$ into 6 different categories, $|\Psi_{\sigma_{\mathbf{k}}}^{1h}\rangle$, $|\Psi_{\sigma_{\mathbf{k}}}^{2h2m}\rangle$, $|\Psi_{\sigma_{\mathbf{k}}}^{2h1m}\rangle$, $|\Psi_{\sigma_{\mathbf{k}}}^{1d}\rangle$, $|\Psi_{\sigma_{\mathbf{k}}}^{2d}\rangle$, $|\Psi_{\sigma_{\mathbf{k}}}^{1h1d}\rangle$, which are shown in Fig. 8.

The states labeled with superscripts $1h$ and $2h2m$ are states which have one and two atoms populating a mode not belonging to the initially populated $\mathbf{n} = \{\mathbf{n}_1, \mathbf{n}_2, \dots, \mathbf{n}_N\}$ manifold respectively. The states labeled with superscripts $2h1m$ are states which have two atoms both populating one mode not belonging to the initially populated manifold. The states labeled with superscripts $1d$ and $2d$ have one and two modes in the initially populated manifold occupied by two atoms (doublon) respectively. Finally states labeled by superscripts $1h1d$ have one atom populating a mode outside the initially occupied manifold and a doublon. We will consider the various

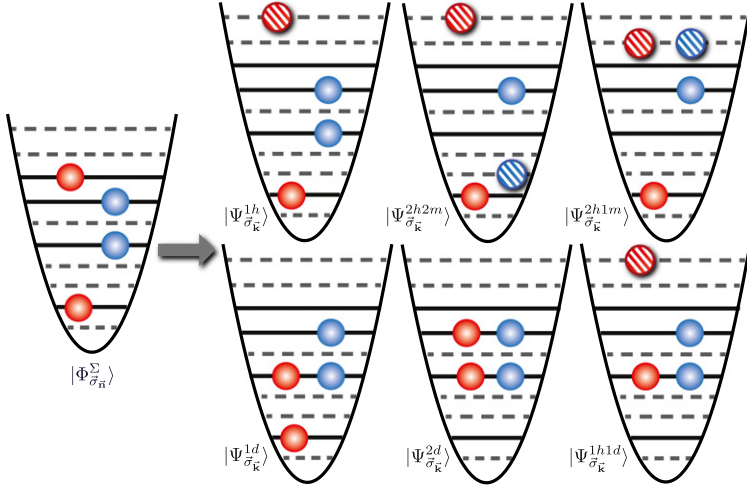


Fig. 8. (Color online) Schematic representation of the various virtual processes. Here the filled circles denote g (blue) and e (red) atoms in the initially populated levels (also shown with a solid line). The striped circles denote atoms virtually populating off-resonant levels, shown with a dashed line. Note that the classification is made in terms of the number of doublons or populated states outside the initially populated manifold. Processes in which, in addition to the formation of a hole or a doublon within the occupied manifold, the spin is switched between two atoms are also included in each classification but are not necessary shown in the schematics. Also, since this is a schematic plot, for simplicity, we show two e atoms and two g atoms only and the color was selected randomly. For example, for the cases $(1h)$, $(1d)$, $(2d)$ and $(1h1d)$ corresponding processes exist where g (blue) atoms are the ones that get excited.

contributions case by case in [Appendix B](#). Here we only quote the final result, assuming collective interactions and dropping terms that are constants of motion.

$$\hat{H}_{\mathbf{n}}^{\hat{S}^2} = a_1^T \hat{S}^z + a_2^T (\hat{S}^z)^2 + a_3^T (\hat{S}^z)^3. \quad (54)$$

The parameters $a_{1,2,3}^T$ are explicitly computed in [Appendix B](#).

Among those corrections, linear and quadratic contributions can be absorbed into the spin Hamiltonian, and only the processes proportional to $(\hat{S}^z)^3$ give rise to an additional term. Multi-body interactions arising from virtual scattering processes have been shown to introduce measurable corrections in quantum phase revivals in the Bose–Hubbard model [57,58]. The cubic terms can give rise to visible corrections to the Ramsey–fringe contrast as recently measured in the JILA Sr clock and reported in Ref. [22]. Here we also show, in Section 7.2.2, that they play an important role in the Yb Ramsey–fringe contrast decay.

In principle, terms proportional to $(\hat{S}^z)^4$ could appear in the effective Hamiltonian. We find, however, that they vanish in our system due to the fact that when an atom in mode \mathbf{n}_j scatters to an already occupied mode, \mathbf{n}_j' , forming a doublon, the reverse process (an atom in mode \mathbf{n}_j' scatters to mode \mathbf{n}_j forming a doublon), contributes with the opposite sign to the effective model, and thus, both cancel.

6. Ramsey interrogation: beyond the collective regime

Negligible excitation inhomogeneity is not necessarily a good approximation in all optical lattice clock experiments. For example, in the Yb optical lattice clock at NIST, the current operating temperature is typically around 10 μK and $\Delta\Omega/\bar{\Omega}$ can be in some cases as high as 0.25 (see [Fig. 4](#)). In the following sections we describe various ways to treat inhomogeneous excitation and interaction effects that cause population of non-collective states. We first consider the case of collective interactions, where an analytic solution to the full quantum problem in the presence of an excitation inhomogeneity can be derived based on perturbation theory in $\Delta\Omega/\bar{\Omega}$. Next, we discuss

a solution based on the TWA that incorporates in the model, not only excitation inhomogeneity but also inhomogeneity in the spin coupling constants and losses.

6.1. Analytic solution

In the presence of excitation inhomogeneity, an analytic treatment based on perturbation theory can be performed under the collective Hamiltonian approximation, *i.e.* neglecting two body losses and assuming collective two-body interactions.

To accomplish that one writes $\Omega_{n_j} = \bar{\Omega}_{\bar{n}} + \delta\Omega_{n_j}$, with $\bar{\Omega}_{\bar{n}} = \sum_j \Omega_{n_j}/N$ the mean Rabi frequency and treats $\delta\Omega_{n_j}/\bar{\Omega}$ as a perturbation parameter. Keeping only the leading order correction, which can be shown to be quadratic in $\Delta\Omega_{\bar{n}} = \sqrt{\sum_j \Omega_{n_j}^2/N - \bar{\Omega}_{\bar{n}}^2}$, *i.e.* the first-order corrections vanish, and after a lengthy but straightforward calculation described in [Appendix C](#), one can compute the number of excited atoms, $N_{e,\bar{n}}(t_1, t_2)$, in Ramsey spectroscopy. The expression is quite complicated and we do not explicitly show it in the main text. Instead here we discuss its behavior in the weakly interacting regime, $\chi_{\bar{n}}\tau \ll 1$ and $J_{\bar{n}}^\perp\tau \ll 1$, where one can show that the density shift becomes:

$$2\pi \Delta\nu_{\bar{n}}^{\text{inh}} = (N - 1) \left[C_{\bar{n}} - \chi_{\bar{n}}^* \cos(\bar{\theta}_{\bar{n}}) \right] - N \Delta\theta_{\bar{n}}^\perp \Delta\theta_{\bar{n}}^\parallel \left[J_{\bar{n}}^\perp + \frac{\chi_{\bar{n}}}{N} \sin^2(\bar{\theta}_{\bar{n}}) \right] \left(\frac{\cot(\bar{\theta}_{\bar{n}})}{\sin(\bar{\theta}_{\bar{n}})} \right), \quad (55)$$

$$\chi_{\bar{n}}^* = \chi_{\bar{n}} \left[1 + \frac{(N - 3)}{2(N - 1)} (\Delta\theta_{\bar{n}}^\parallel)^2 \right], \quad (56)$$

with $\Delta\theta_{\bar{n}}^\parallel = \Delta\Omega_{\bar{n}}t_{1,2}$ and $\bar{\theta}_{\bar{n}} = \bar{\Omega}_{\bar{n}}t_{1,2}$.

The expression of the shift in the weakly interacting limit is very illuminating. Note that while to leading order ($\Delta\theta = 0$) the density shift is only determined by the excitation fraction, $\cos(\bar{\theta}_1) = (N_g - N_e)/2$, and is independent of the second pulse area, $\bar{\theta}_2$, excitation inhomogeneity does introduce a dependence of the density shift on the second pulse area. An intuitive explanation for that can be obtained by noticing that the density shift is extracted from a collective measurement. Therefore, if there are atoms excited outside the symmetric Dicke manifold due to the first pulse, they only contribute to the measured signal if they are brought back to the symmetric Dicke manifold by the second pulse. The mean-field expression, Eq. (55), also tells us that terms proportional to $(\Delta\theta_{\bar{n}}^\parallel)^2$ just slightly renormalize the overall magnitude of the density shift, $\chi_{\bar{n}} \rightarrow \chi_{\bar{n}}^*$, without affecting its general dependence on the excitation fraction. On the other hand, the term proportional to $\Delta\theta_{\bar{n}}^\parallel \Delta\theta_{\bar{n}}^\perp$ does modify the dependence of the density shift on pulse area and vanishes at $\bar{\theta}_{\bar{n}} = \pi/2$.

Outside the weakly interacting regime, the assumption that the density shift scales mostly linearly with the particle number breaks down. One important consequence of this observation is that the behavior of the $N = N_T$ particle system cannot be reproduced by solving the $N = 2$ system and then rescaling the interactions, as claimed in Ref. [17], *i.e.* replacing $\chi_{\bar{n}}$ and $J_{\bar{n}}^\perp$ in the $N = 2$ solutions by $\chi_{\bar{n}}^{\text{eff}} \rightarrow \chi_{\bar{n}}(N_T - 1)$ and $J_{\bar{n}}^{\perp,\text{eff}} \rightarrow J_{\bar{n}}^\perp N_T/2$. The relevant role of non-linear effects is illustrated in [Fig. 9](#). In the top panel we compare the behavior of three systems with different $N = 2, 6, 12$. We fix the interactions such that $\chi_{\bar{n}}(N - 1)$ are all equal as well as all $J_{\bar{n}}^\perp N$. While the curves $N = 6$ and 12 are very close to each other, they do not agree with the $N = 2$ solution.

Note also that for the many-body system, $N \gg 2$, the part of the shift sensitive to the second pulse area is mainly proportional to $N(J_{\bar{n}}^\perp)$ (up to $1/N$ corrections) and not to $2J_{\bar{n}}^{\perp} + \chi_{\bar{n}} \sin^2(\bar{\theta}_1)$, as it is the case for $N = 2$. This phenomenon is visible in the bottom panel of [Fig. 9](#), which shows the shift vs excitation fraction for three different second pulse areas: (0.25, 0.5, and 0.75) π . At $J^\perp = 0$ and $\bar{\theta}_1^\perp = \pi/2$ (close to zero crossing for the parameters used in the plot) the density shift only exhibits a significant dependence on the second pulse area when $N = 2$.

6.2. TWA and mean-field solutions

We will now use the TWA to go beyond the collective approximation that was required to derive the equations of motion in prior sections. The TWA allows us to include different types of mechanisms,

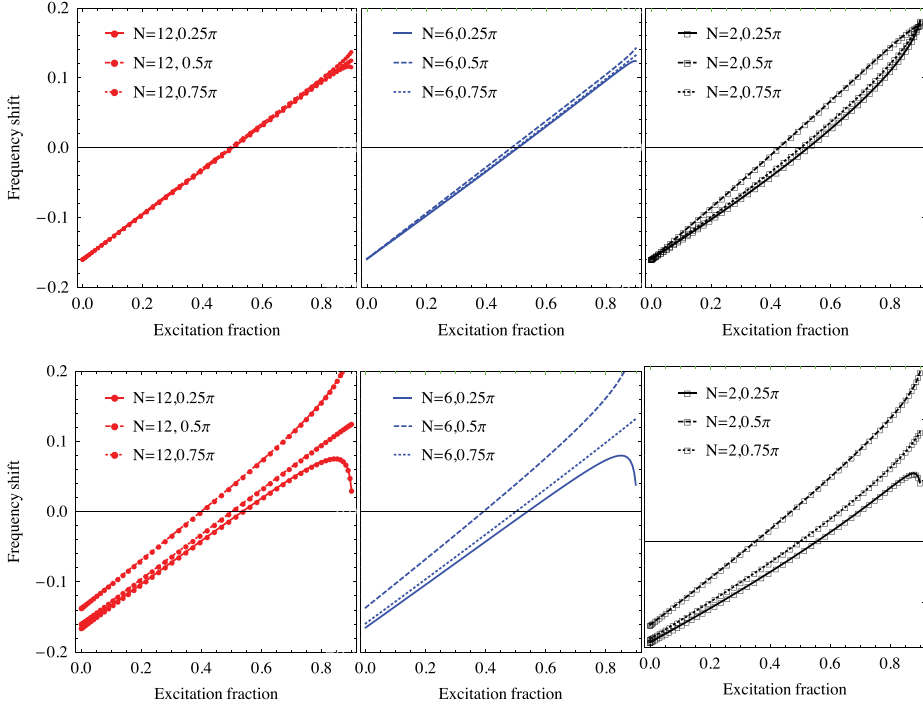


Fig. 9. (Color online) Shift vs excitation fraction for different atom numbers: $N = 2$ (black open squares), 6 (no symbol), and 12 (red circles). The convention for the second pulse area is: $\hat{\theta}_2^{\text{B}}$: 0.25π (solid line), 0.5π (dashed line) and 0.75π (short-dashed line). We set $C_{\bar{n}} = 0$. The values of the other interactions were chosen such that $\chi_{\bar{n}}(N - 1)$ are all equal as well as $J_{\bar{n}}^{\perp} N$. For $N = 2$, we set $\chi_{\bar{n}} = 1$ and $J_{\bar{n}}^{\perp} = 0$ and -0.6 , for the top and bottom panels respectively. Note that for $N > 2$ and $J_{\bar{n}}^{\perp} = 0$, the density shift is quite insensitive to the second pulse area as predicted by theory.

which bring the system out of the collective Dicke manifold, such as single-particle excitation inhomogeneities and non-collective elastic and inelastic two-body collisions. We can also easily include one-body losses due to, for example, background gas collisions. The latter is characterized by the jump operators $\sqrt{\Gamma_{j\alpha}} \hat{c}_{n_j\alpha}$, which annihilate the atom j in spin state α .

For the mean-field treatment, we assume the reduced density matrix of the many-body system factorizes as described in Eq. (46). With the aim of dealing with long-range interactions, it is better to write the equations of motion of the Fourier transformed quantities:

$$\rho_{\alpha\alpha}(k) = \sum_{j=1}^N e^{i\frac{2\pi jk}{N}} \tilde{\rho}_{\alpha,\alpha}^j \quad (57)$$

$$\rho_{eg}(k) = \sum_{j=1}^N e^{-i\frac{2\pi jk}{N}} \tilde{\rho}_{e,g}^j = \rho_{ge}^*(k). \quad (58)$$

The equations of motion derived in this way are general, and in Section 7, will be applied to model the Yb clock dynamics. These equations reduce to Eqs. (48)–(50) when the interaction parameters are collective, *i.e.* have only $k = k' = 0$ components. In their most general form, the equations of motion are nontrivial, and we present them in Appendix D. Here instead we proceed to analyze simpler relevant cases, which will allow us to test the validity of their corresponding TWA dynamics (obtained after sampling the solutions of the mean-field equations with the Wigner distribution). We proceed by considering two cases, one in which the inhomogeneity is caused by interactions and the other in which it is caused by single-particle terms. Since analytic solutions are only available when one

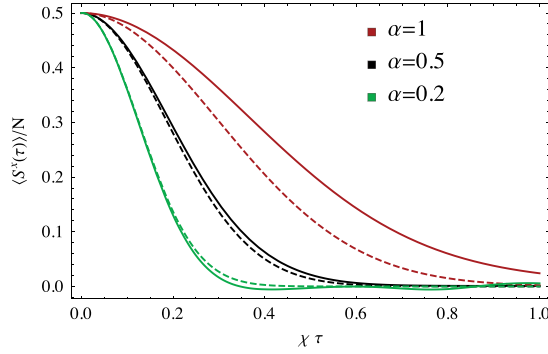


Fig. 10. (Color online) Ramsey-fringe-contrast decay for the case of power-law Ising interactions in a 2D square array composed of 121 atoms (See text). The first pulse is set to $\pi/2$. The solid lines correspond to the exact solution obtained from Ref. [52]. The dashed lines are the TWA solution. From top to bottom the curves correspond to $\alpha = 1, 0.5$, and 0.2 .

restricts the dynamics to a pure Hamiltonian evolution, in the remainder of this section we will neglect single-particle and two-body losses.

6.2.1. Non-collective interactions

• Ising case

The Ising case corresponds to $J_{\mathbf{n}_j, \mathbf{n}_{j'}}^\perp = 0$. An exact solution for the Ising dynamics exists for an initial product state and for arbitrary spin coupling constants [52,59,31,60,61]. This analytic solution allows us to benchmark the validity of the TWA beyond the collective regime, assuming zero transverse interactions $J_{\mathbf{n}_j, \mathbf{n}_{j'}}^\perp = 0$ and no losses. We will also assume, for simplicity, no excitation inhomogeneity during pulses. In this case, at the mean-field level, one obtains:

$$\rho_{eg}(k = 0, \tau) = (S_0^x + iS_0^y) \exp[-i\tau \mathcal{M}^0]_{0,0}, \tag{59}$$

with

$$\mathcal{M}_{k,k'}^0 \equiv \delta I_{k,k'} - 2\hat{S}_0^z \chi_{k,-k,0} - N C_{k'-k,0}, \tag{60}$$

$$\chi_{k,0} \equiv \frac{1}{N^2} \sum_{j,j'=1}^N e^{i\frac{2\pi jk}{N}} \chi_{\mathbf{n}_j, \mathbf{n}_{j'}}, \tag{61}$$

$$C_{k,0} \equiv \frac{1}{N^2} \sum_{j,j'=1}^N e^{i\frac{2\pi jk}{N}} C_{\mathbf{n}_j, \mathbf{n}_{j'}}. \tag{62}$$

Here I is the identity matrix. $\{S_0^x, S_0^y, S_0^z\}$ are the components of the collective Bloch vector after the first pulse. In Fig. 10, we show the comparisons for a system of $N = 121 = 11 \times 11$ atoms assuming that modes \mathbf{n} form a 2D square lattice array. We set $\chi_{\mathbf{n}_j, \mathbf{n}_{j'}} \propto 1/|\mathbf{n}_j - \mathbf{n}_{j'}|^\alpha$ and vary $\alpha = 0.2, 0.5, 1$. Note this choice of $\chi_{\mathbf{n}_j, \mathbf{n}_{j'}}$ is done just for convenience without any direct link with the mode dependence of $\chi_{\mathbf{n}_j, \mathbf{n}_{j'}}$ when it describes actual s or p -wave interactions. The physically relevant case for the clock will be described below. For $\alpha = 0.2, 0.5$, the exact and TWA solutions agree well. However, for $\alpha = 1$, deviations start to become important. An approximate criterion for the validity of the TWA for a translationally invariant system is that, if one defines [31] $\mathcal{E}_m = \sum_j \chi_{\mathbf{n}_0, \mathbf{n}_j}^m$, then $\mathcal{E}_1^2 \approx N \mathcal{E}_2$. Note that, in this analysis, we have not added any quantum vacuum noise to the initially empty $k \neq 0$ modes. However, we have confirmed that its addition only slightly changes the conclusions.

• Pure p -wave interactions

We now take advantage of the TWA to benchmark the validity of the collective model when the interaction inhomogeneity comes from the mode dependence of the p -wave interactions. In this case,

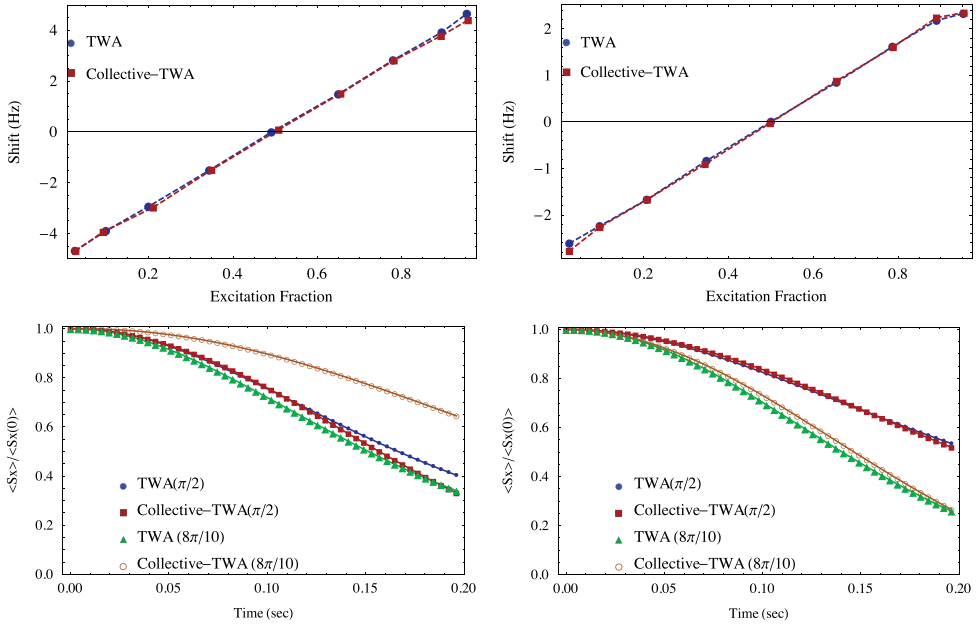


Fig. 11. (Color online) Top panels: comparisons of the density shift vs excitation fraction predicted by the collective TWA and the generic TWA at $\tau = 50$ ms. The generic TWA accounts for the mode dependence of p -wave interactions. Here zero excitation inhomogeneity is assumed ($\Delta\Omega = 0$). A thermal average over initially populated modes is performed. The left panel is for a fixed number of atoms, $N = 20$. In the right panel, we have done an average over the atom number in the pancakes using a Poissonian distribution with $\bar{N} \sim 9$ (see Section 7.1). Here $v^{ee} = v^{sg}$, thus $C_{\vec{n}_j, \vec{n}_j} = 0$. Bottom panels: comparison of the contrast decay vs time predicted by the collective TWA and generic TWA for different first pulse areas (indicated in the plot). Again, the left panels are for a fixed number of atoms, $N = 20$, and the right panel is computed after performing an atom number average.

for simplicity we also assume no excitation inhomogeneity during the pulses and assume no single particle or two-body losses. We also set s -wave interactions to zero and perform a thermal average over populated modes assuming a Boltzmann distribution (see Section 7.1). In Fig. 11, we show the density shift and the Ramsey fringe contrast decay predicted by the TWA solution, both with and without interaction inhomogeneity. While the density shift agrees fairly well between the collective and non-collective solutions, the contrast shown for the high ($\theta_1 = 0.8\pi$) initial pulse area has a slower decay for the case of collective interactions. On the contrary, at initial pulse areas close to $\pi/2$, the agreement between the two solutions is fair, and the collective model exhibits just a slightly faster decay of the contrast. The disagreement of the contrast at high excitation fraction is because, at these conditions (a similar effect happens at small tipping angles), the contrast reduction comes primarily from simple dephasing due to the different precession rates of the Bloch vector for different realizations of the interaction parameters. Recall that the dynamics induced by mean-field interactions correspond to a precession around an effective magnetic field whose strength depends on atom number, interaction strength, and excitation fraction, Eq. (38). For the collective model that type of dephasing is much weaker. On the contrary, if $C_{\vec{n}_j, \vec{n}_j} = 0$, as it is in this example, the effective magnetic field vanishes at $\theta_1 = \pi/2$. Thus, for θ_1 close to $\pi/2$, dephasing is minimal and contrast decay is dominated by many-body effects, which are fairly well-captured by the collective model.

Despite the disagreement of the contrast at low/high excitation fractions and at zero s -wave interactions, the collective model remains very useful for describing experimental observations during the interrogation of the clock. The reason is that, instead of a single pancake with fixed atom number, in the experiment, there is an array of pancakes populated with a Poissonian distribution (as explained below). If one takes into account the Poissonian average, one recovers a good agreement between the collective and non-collective models even at low/high excitation fractions, as shown in Fig. 11. In this

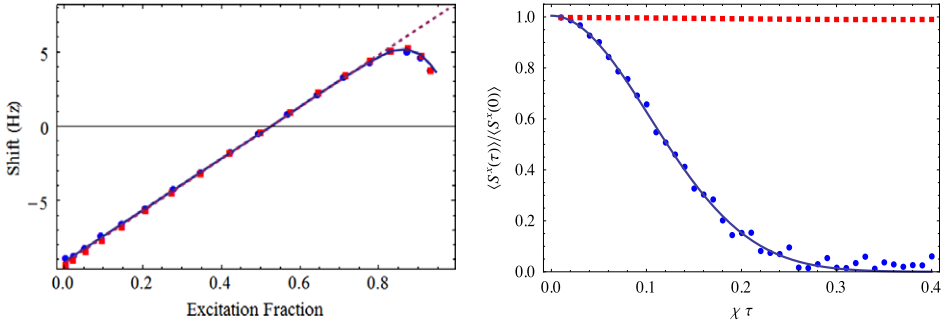


Fig. 12. (Color online) Left panel: comparison of the density shift vs excitation fraction predicted by the analytic perturbative treatment (solid blue line), the TWA (filled blue dots) and the mean-field (red squares) with excitation inhomogeneity. We assumed collective interactions, set $\Delta\Omega/\bar{\Omega} = 0.25$ and fixed $N = 30$. The density shift is computed at $\chi_{\bar{n}}\tau = 0.04$. Here $v^{ee} = v^{gg}$, thus $C_{\bar{n}} = 0$. Right panel: Ramsey contrast decay vs time including excitation inhomogeneity predicted by the analytic perturbative treatment (solid blue line), the TWA (filled blue dots), and the mean-field (red squares). The pulse area is set to $\pi/2$.

case, dephasing due to the different atom number in each lattice pancake dominates the contrast decay at low/high excitation fractions. When the Poissonian average is included, the cases with low/high pulse areas are the ones that exhibit the fastest Ramsey fringe contrast decay. Note that, for Fig. 11, the mean-atom number per the pancake used for the plots with a Poissonian distribution was $\bar{N} \sim 9$. On the contrary, for the fixed-atom-number plots we set $N = 20$. The difference in atom number is the only reason why the overall timescale for the contrast decay in the Poissonian-averaged plots is slower than in the fixed-atom-number ones.

We also expect that finite $|J_{\bar{n}_j, \bar{n}_{j'}}^{\perp}| > 0$ interactions (induced by s -wave collisions) will enhance the gap between the collective Dicke manifold, $S = N/2$, and the other manifolds. A larger gap will further suppress population leakage outside the former (gap protection) [48] and will improve the collective approximation. The validity of the gap protection idea is explicitly shown in Appendix E, where we use a box potential instead of a harmonic potential to make the mode dependence of the p -wave interactions even stronger. There we show that the dynamics converge to the collective dynamics as the mean value of $|J_{\bar{n}_j, \bar{n}_{j'}}^{\perp}|$ is increased.

6.2.2. Excitation induced inhomogeneity

In the presence of finite φ , Eq. (6), the gap protection does not help to prevent leakage of population outside the Dicke manifold. This is because the transfer is made during short pulses where interactions play no role and single-particle excitation inhomogeneity is the leading transfer mechanism. In Fig. 12, we compare the analytic solution found in Section 6.1 using perturbation theory in $\Delta\Omega/\bar{\Omega}$ (solid blue line), the TWA (filled blue circles), and a pure mean-field with excitation inhomogeneity (red squares). Fig. 12 assumes collective interactions and equal first and second pulse areas. For comparison purposes, we also show the analytic solution for the density shift in the case of zero inhomogeneity (dashed purple line). Note that excitation inhomogeneity manifests itself in the density shift mainly at high excitation fractions where it gives rise to some curvature. The figure also shows that the density shift is well captured by both the TWA and the mean-field. On the contrary, the Ramsey fringe contrast decay is only captured by the TWA and analytic solutions, which agree well with each other. The mean-field does not predict any contrast decay. The pulse area for the Ramsey fringe contrast shown in Fig. 12 was set to be $\pi/2$.

7. Comparisons with optical lattice clock experiments

After developing and benchmarking in great detail the theoretical formalism, we now proceed to apply it to describe experimental measurements performed in the ^{87}Sr JILA optical lattice

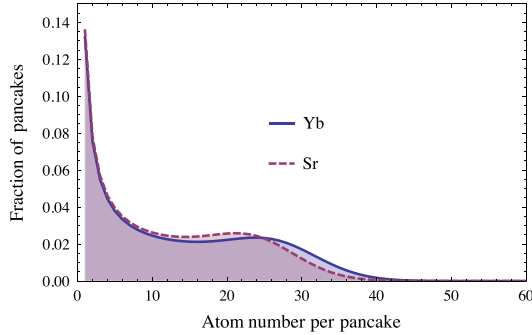


Fig. 13. (Color online) Atom number distribution: the solid blue distribution is for the Yb 1D optical lattice clock at a typical atom number $N_T = 26,000$; the dashed purple distribution is for the Sr 1D optical lattice clock at typical atom number $N_T = 5000$.

clock [11,22] and in the NIST ^{171}Yb [19,20] optical lattice clock. One of the main differences between the two clocks is their temperature. While the JILA clock operates typically at temperatures between (1–4) μK and with a typical excitation inhomogeneity $\Delta\Omega/\bar{\Omega} \lesssim 0.1$, the ^{171}Yb clock typically operates at temperatures at or somewhat above 10 μK with corresponding excitation inhomogeneity $\Delta\Omega/\bar{\Omega} \sim 0.25\text{--}0.3$. These differences have significant consequences for the proper theory model required to describe the experiment. While the pure collective model is sufficient to describe the many-body dynamics in the ^{87}Sr clock, as explicitly shown below, the inclusion of excitation inhomogeneity is needed to reproduce the ^{171}Yb measurements. We first start by describing in more detail the experimental set-up, which is common for the two clocks, and then present the experimental measurements and comparisons with theory.

7.1. Spatial averaging and finite temperature

In the experiments, a one dimensional lattice is used to confine the atoms creating an array of 2D disk-shaped arrays or pancakes (see Fig. 2(b)). The atom number distribution along the array is determined by assuming the atoms are loaded in the MOT (magneto-optical trap) with a Gaussian density profile, and that this profile is kept the same but simply partitioned into lattice sites when the 1D lattice is turned on. In this case $\rho(l) = \frac{N_T a}{\sigma_M \sqrt{2\pi}} e^{-\frac{(la)^2}{2\sigma_M^2}}$ is taken as the density at pancake l after integrating along the other two directions. Here σ_M is the $1/e$ MOT radius, which is $\sim 30 \mu\text{m}$ for the ^{87}Sr clock and $\sim 130 \mu\text{m}$ for the ^{171}Yb clock, $a \sim 0.4 \mu\text{m}$ is the lattice spacing (approximately the same for both experiments) and N_T the total atom number. The atom number fluctuates from realization to realization according to a Poissonian distribution, and the probability of having $N > 0$ atoms at lattice site l is $\mathcal{R}(l, N) = A e^{-\rho(l)} \frac{\rho(l)^N}{N!}$ with A a normalization constant. Any experimental observable should be then calculated as $\mathcal{O}_{\text{Exp}} = \sum_N \mathcal{O}(N) \mathcal{R}(N)$ with $\mathcal{R}(N) = \sum_l \mathcal{R}(l, N)$. In Fig. 13, we show an atom number distribution for a typical number of $N_T \sim 26,000$ atoms in the Yb clock. The Sr clock operates with a smaller atom number, $N_T = 5000$, but, due to different trapping conditions, the average distribution of atoms in both experiments is similar, as can be seen in Fig. 13.

In most of the calculations performed above, we fixed the set of vibrational modes to be \vec{n} . However, to compare with experiments, we need to perform a thermal average. For μK temperatures, at which the clocks operate, we can assume the modes are initially populated according to a Maxwell–Boltzmann distribution, computed using single-particle energies. Although so far we have assumed that atoms are frozen along the axial direction, this assumption is not fully correct for the Yb lattice clock operated at $\sim 10 \mu\text{K}$ with a typical axial trapping frequency of $\nu_z = 75\text{--}80 \text{ KHz}$. In this case, the mean axial mode occupation number $\bar{n}_z \sim 2.5$, and to compare with the Yb experiment, we perform a thermal average over excited-optical-lattice bands.

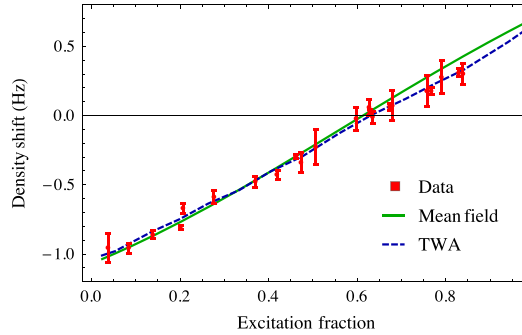


Fig. 14. (Color online) Density shift in Ramsey spectroscopy vs excitation fractions measured in the Sr clock: the filled symbols show experimental data. The dashed (blue) line is the prediction from the collective TWA model and the solid (green) line the collective mean-field model. The experimental data was normalized to a typical time-averaged total atom number of 1000 atoms. The excitation fraction was also time-averaged. See Ref. [22] and text for more information.

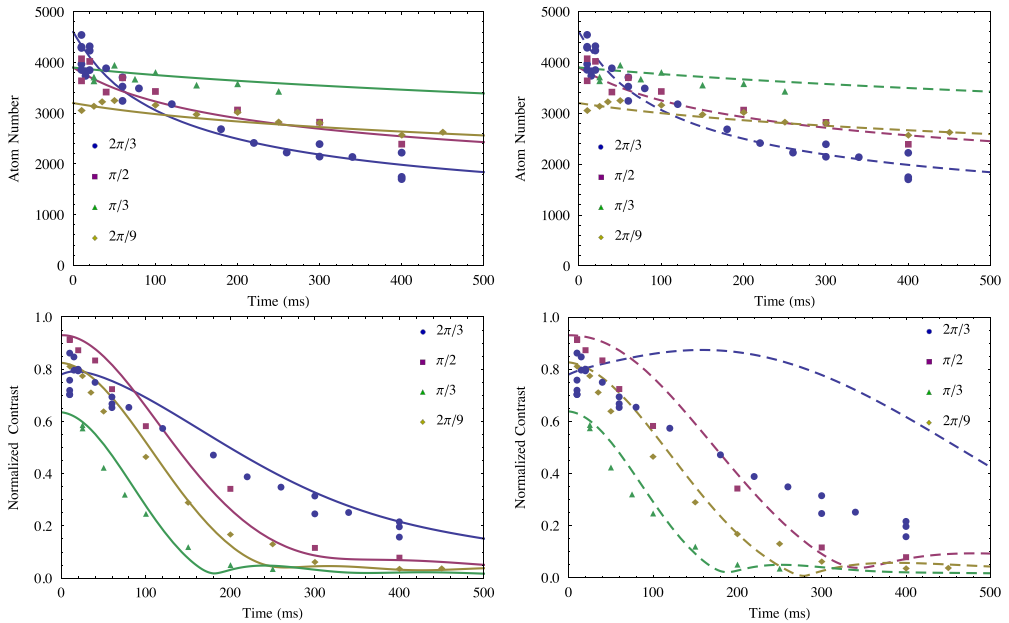


Fig. 15. (Color online) Atom number decay and Ramsey fringe contrast vs dark time measured in the Sr clock: the filled symbols show experimental data, the solid lines are the predictions from the collective mean-field model, and the dashed lines are the predictions from the collective TWA model. See text for more information. An overall scaling factor on the order of ~ 0.9 – 1 in the contrast plots, that accounted for the experimental dead-time between the end of the second pulse and the population measurements was used in the theory curves to match the contrast at zero dark time. Statistical error bars for experimental data are comparable to symbol's size. See also Ref. [22].

7.2. Ramsey spectroscopy

The experiments performed Ramsey spectroscopy measurements and varied the atom number, pulse area, and the initial state of the atoms. The results are summarized in Figs. 14–16 for the Sr clock and Figs. 17–18 for the Yb clock. In those plots, the theoretical predictions are also shown.

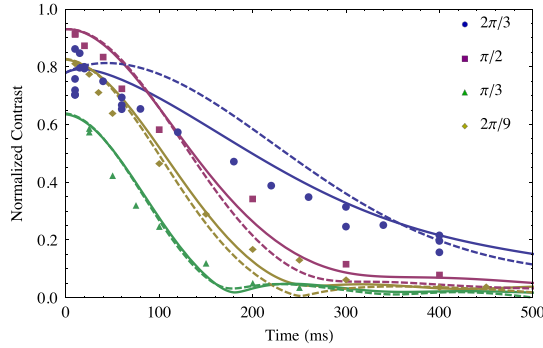


Fig. 16. (Color online) Ramsey contrast decay obtained from the collective TWA with cubic terms (solid lines) and without them (dashed lines). The symbols are experimental data taken at different first pulse areas. Statistical error bars for experimental data are comparable to symbol's size. See also Ref. [22].

7.2.1. Sr optical lattice clock

Let us first discuss the Sr clock, which admits a simpler theoretical treatment based on a pure collective model. Fig. 14 shows the measured density shift vs excitation fraction. The excitation fraction was changed by varying the first pulse area. In the presence of two-body losses, the excitation fraction is not constant during the dark time, and, to simplify the comparisons with theory, a time-averaged excitation fraction was used for the density shift plot. The latter was extracted in the experiment by performing a set of independent measurements periodically inserted into the clock sequence [22]. The duration of the dark time, τ , was set to 80 ms, and the final pulse area to $\pi/2$. The typical axial and radial sample temperatures, measured by sideband spectroscopy and Doppler spectroscopy respectively, were between, $T_Z \sim 1\text{--}2 \mu\text{K}$ and $T_R \sim 2\text{--}4 \mu\text{K}$; the trapping frequencies were set to $\nu_Z = 80 \text{ kHz}$ and $\nu_R \sim 450 \text{ Hz}$; and the typical misalignment angles were small enough that excitation inhomogeneity was negligible. Under these operating conditions, the collective model, after performing an average over the atom number distribution, is expected to work fairly well. This expectation is confirmed by the linear dependence of the shift at high excitation fraction and the good agreement between the collective TWA predictions and the experimental data. Since only the g interaction parameters are known, $b_{gg} \sim 76 a_B$ (a_B the Bohr radius) [11], we used the density shift data to determine the parameters $V^{e,g} - V^{g,g} = -2\pi \times 0.27 \text{ Hz}$ and $V^{e,e} - V^{g,g} = 0.4V^{e,g}$. Note that these parameters are almost temperature independent.

Fig. 15 shows the atom number decay and the normalized Ramsey fringe contrast. By normalized we mean that the contrast was rescaled by the corresponding atom number at a given time. In the experiment, the Ramsey fringe contrast was extracted in a manner that is insensitive to the frequency noise of the local oscillator. For details, see Refs. [22,23]. Four different first pulse areas were used (the second pulse area was always $\pi/2$) and are indicated with different symbols in the figure. The Ramsey pulses were always shorter than 6 ms. Atom number decay data was used to determine $\Gamma^{e,e} = 2\pi \times 0.1 \text{ Hz}$. $\Gamma^{e,g}$ was consistent with zero in agreement with measurements reported in Ref. [21]. There the single atom decay rates were also measured to be $\Gamma^e \sim \Gamma^g = 2\pi \times 0.01 \text{ Hz}$, and we used those values. These parameters together with the values of $V^{e,g} - V^{g,g}$ and $V^{e,e} - V^{g,g}$ extracted from the density shift were used to compute the Ramsey contrast decay curves.

We added a cubic term to account for the virtual populations of the off-resonant modes as explained in Section 5. Since the coupling constants $a_{1,2,3}^T$ cannot be trivially computed, we used instead the quantities $\chi_{pp}^{(3,6)}$ – which give rise to the relevant cubic contributions – as fitting parameters (see Appendix B) and set $a_{eg}^- = 0$. The values of $\chi_{pp}^{(3,6)}$ utilized generated an additional term $\sum_{r=0}^3 A_r (\hat{N}_e)^{3-r} (\hat{N}_g)^r$ with $A_0 = 2\pi \times 0.0016 \text{ Hz}$, $A_1 = -2\pi \times 0.0058 \text{ Hz}$, $A_2 = 2\pi \times 0.003 \text{ Hz}$, $A_3 = 2\pi \times 0.0011 \text{ Hz}$. Although the cubic corrections are small and played no role in the density shift, they improved the agreement between theory and experiment. To emphasize this point in Fig. 16, we explicitly compare the theory predictions of the contrast with and without cubic terms.

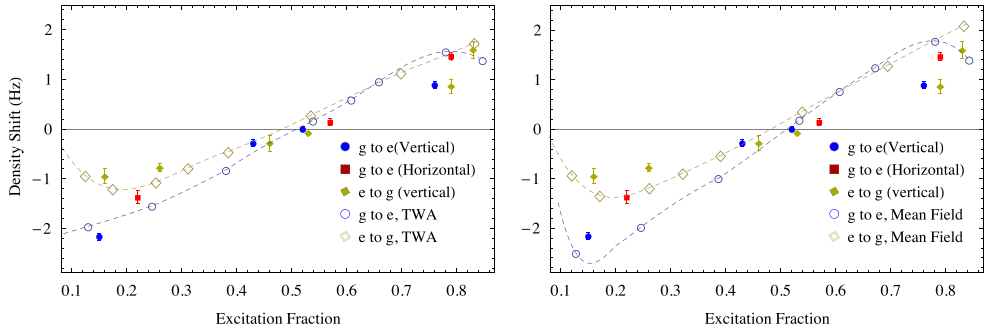


Fig. 17. (Color online) Density shift vs excitation fractions measured in the Yb clock: the filled symbols show experimental data and the open symbols joined by dashed lines show predictions from the non-collective TWA model (left) and the non-collective mean-field model (right). The blue circles and red squares were taken using a vertical and a horizontal 1D lattice, respectively, and used a $g \rightarrow e$ interrogation. The yellow diamonds used a vertical lattice but interrogated the $e \rightarrow g$ transition. The experimental data measured the shift by normalizing it to a typical time-averaged total atom number of $\langle N_T \rangle_\tau = 25,000$ atoms. The excitation fraction was also time-averaged. See text for more information.

In addition to the collective TWA solutions, we also show the collective mean-field predictions. Both were computed after performing an average over the atom number distribution. Excellent agreement is observed between the collective TWA and the experimental data.² The mean-field model reproduces the density shift measurements and is able to capture the fast contrast decay at low excitation fractions due to the dephasing induced by the different precession rates of the Bloch vector across different pancakes. Remember that the effective magnetic field induced by interactions is directly proportional to the density shift and depends on the pulse area and atom number. At low excitation fraction the effective magnetic field (density shift) is maximum as shown in Fig. 14. On the contrary, for the intermediate excitation fraction data points, the mean-field solution fails to reproduce the contrast decay experimentally. At those excitation fractions, the effective magnetic field (density shift) is almost zero — see Fig. 14 — and the contrast decay is dominated by many-body correlations not included in the mean-field model.

7.2.2. Yb optical lattice clock

Now we proceed to discuss the Yb clock experiment. Fig. 17 shows the measured density shift vs excitation fraction seen in the Yb clock [19,20]. Two types of initial conditions were considered. For the red and blue points, initially all atoms were prepared in the g state and then optically excited to the e state. The blue data (solid circles) was taken using a vertical optical lattice and the red data (solid squares) using a horizontal optical lattice. In the vertical lattice, tunneling between neighboring lattice sites is expected to be substantially suppressed by gravity [62,19]. That suppression is not present in the horizontal setup. Based on that and the fact that there is no substantial difference between the two sets of data, we conclude that, at least for the density shift, tunneling can be neglected. The yellow solid diamonds show the density shift measured for the initial condition, in which all the atoms were prepared in the e state and then optically deexcited to the g state. For all the cases, the Ramsey pulse time was $t_1 = t_2 = 1$ ms, the dark time was $\tau = 80$ ms, the temperature was $T = 10 \mu\text{K}$, the trapping frequencies were $\nu_z = 75$ kHz and $\nu_R \sim 500$ Hz and $\Delta\Omega/\Omega \sim 0.25$. In ^{171}Yb , both $e-e$ and $e-g$ losses, as well as single particle e and g losses, play a non-negligible role in the quantum dynamics [20]. Consequently, both the excitation fraction and atom number vary with time during the spectroscopy. The data presented here accounts for those effects by using time-averaged excitation fraction and a density shift normalized to a time-averaged atom number. The different

² In Fig. 14, in contrast to the theory presented in Ref. [22] where losses were neglected, we do include losses and perform a time average.

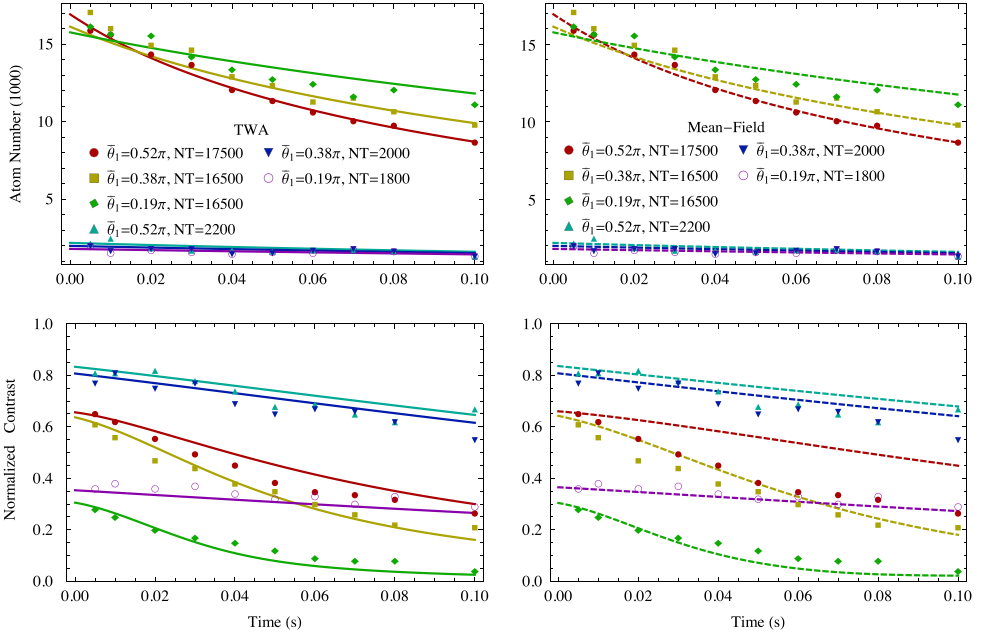


Fig. 18. (Color online) Atom number and Ramsey fringe contrast vs dark time measured in the Yb clock: the filled symbols show experimental data, the solid lines are the predictions from the non-collective TWA model, and the dashed lines are the predictions from the non-collective mean-field model. See text for more information. To account for the additional 25 ms dead-time between the end of the second pulse and the population measurements, we introduced an overall scaling factor ~ 0.8 – 0.9 to match the experimentally measured contrast at zero dark time. Experimental error bars are comparable to symbol's size.

behavior observed between the e - g and g - e interrogation measurements is mainly due to excitation inhomogeneity, which depends on pulse area.

Fig. 18 shows the atom number decay and normalized Ramsey fringe contrast. Three different pulse areas were used (both the first and second pulse areas were the same) as indicated with different symbols in the figure. For each pulse area, two measurements were done, one at a high and the other at a low atom number. These measurements were carried out at similar but slightly different trapping conditions and temperature as compared to the ones used for the density shift: $\nu_Z = 80$ kHz, $\nu_R \sim 550$ Hz, $T \gtrsim 10$ μ K, and $t_1 = t_2 = 5$ ms.

The most general TWA, which accounts for excitation inhomogeneity, non-collective interactions, two-body and single-particle losses, as well as interaction effects and virtual population of motional states (cubic terms in the spin Hamiltonian), was used to model the experiment. Both a thermal average and an average over the atom number distribution in the pancakes were performed. We also compared the predictions of the pure mean-field model, which accounts for similar types of effects but without performing the sampling over the Wigner distribution. Only the g interaction parameters are known in Yb as well, $b_{gg} \approx 0$, and thus we used $v^{e,e}$, $v^{e,g}$, $\gamma^{e,e}$, u_{eg} , $\chi_{pp}^{(3,6)}$ (see Appendix B) as fitting parameters. Note that, since we are not using the collective model, we quote the $v^{\alpha,\beta}$ values and not the $V^{\alpha,\beta}$ values. The cubic Hamiltonian was the only term projected onto the collective manifold and included as a term $\hat{P} \sum_{r=0}^3 a_r [\hat{N}_e^{3-r}(k=0) \hat{N}_g^r(k=0)]$. Here $\hat{P} = 1/N^2 \sum_{j,j'} P_{\mathbf{n}_j, \mathbf{n}_{j'}}$. a_r are functions of $\chi_{pp}^{(6)}$, $\chi_{pp}^{(3)}$. From Ref. [20], we set $\gamma^{e,g} = 3\gamma^{e,e}/5$ and $\Gamma^e \sim \Gamma^g = 2\pi \times 0.3$ Hz. The theoretical curves shown in Figs. 17 and 18 used the following set of parameters: $v^{e,g} = -2\pi \times 3.8$ Hz, $v^{e,e} = -0.2v^{e,g}$, $\gamma^{e,e} = 2\pi \times 2.8$ Hz, $u_{eg} = 0$, $a_0 = a_3 = 2\pi \times 0.3$ Hz and $a_1 = a_3/2 = -2a_0/3$. For the Ramsey contrast measurements, we found that the 5 ms pulses used in the Yb experiment were not short enough to make interaction effects negligible during the pulses, and, because of that, we included interactions during those in the numerical simulations. We added in addition a single-

particle dephasing term acting on ρ_{eg} in all cases, $e^{-\gamma_{dp}\tau}$ with $\gamma_{dp} = 2$ Hz to capture the contrast decay observed for the low density data since, at those densities, interactions effects could not be the cause of contrast decay.

While there is a substantial number of fitting parameters, there is also a variety of experimental data curves. Note also that $\gamma^{e,e}$ is uniquely determined by the atom loss curves and the ratio $v^{e,e}/v^{e,g}$ by the density shift measurements. In the Sr experiment, the collective model based on the TWA was able to fairly reproduce the data taken under different conditions using the same set of two-body interaction parameters and decay rates. For the Yb experiment, the theory could reproduce the experimental measurements of the contrast and density shift using one set of interactions and loss parameters, up to a rescaling by a factor of 2.5 in total atom number when computing the density shift curves. The factor of 2.5 difference could in principle come from the different experimental conditions used for the contrast decay and density shift measurements, although most likely it has its roots in additional decoherence mechanisms not accounted for by the model, such as tunneling, higher vibrational bands, and motional decoherence. Those are likely to affect the Ramsey fringe contrast decay more than the density shift and could be responsible for the overestimation of the interaction parameters when we tried to fit the contrast decay. We did find that, if we set all $a_r = 0$ (no cubic terms), we needed a re-scaling factor much larger than 2.5 to fit all the experimental data with the same interaction parameters.

While both the TWA and the mean-field model reproduced fairly well the density shift, the mean-field model did a poorer job capturing the contrast decay than the TWA. For Yb, the effective magnetic field vanishes at pulse areas close to $\pi/2$, and notably, it is at this pulse area when the deviation between the mean-field and the TWA is more significant. Similar behavior was seen when modeling the Sr clock and is consistent with the expectation that when the effective magnetic field induced by interactions approaches zero, quantum correlations are the dominant cause of Ramsey-fringe contrast decay. It suggests that even at $T = 10$ μ K, quantum correlations can be playing a non-negligible role in the dynamics of the interrogated atoms.

8. Conclusions

Here we have derived a powerful theoretical formalism capable of dealing with the non-equilibrium many-body dynamics of open spin models with long-range interactions. The formalism is based on the TWA, which we have applied to open quantum systems. We have benchmarked the accuracy of the TWA by taking advantage of existing exact solutions as well as by comparisons with analytic perturbative treatments and by numerically solving the master equation. We have applied the developed formalism to describe the many-body dynamics of optical lattice clocks during Ramsey spectroscopy and demonstrated that a full treatment of quantum correlations reproduces best the observed dynamics, better than a pure mean-field treatment.

The formalism developed here should be useful for the description of a broad range of important modern quantum systems, including for example, trapped ions, neutral atoms in optical cavities, quantum dots, and nitrogen vacancy centers.

Going beyond the spin model formulation, which relies on the assumption of frozen motional single particle states, an alternative approach is needed to deal with colder samples where interactions can dominate over the single-particle energy. In this parameter regime the spin model assumption is not necessarily valid. One possible alternative approach includes a kinetic-theory treatment based on solving a Boltzmann equation. That type of treatment has been shown to be useful for describing [63] the spin segregation observed at JILA during Ramsey spectroscopy with a two-component ultra-cold bosonic gas [64] and for describing [65] similar segregation effects observed at Duke in a two-component cold trapped Fermi gas [66].

Acknowledgments

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Appendix A. Collective master equation

In this appendix, we present the details behind the master-equation treatment of Section 4.1. Let $\rho_{\mathcal{N}}$ be the density matrix for a single sector of \mathcal{N} particles. As explained in the main text, since there is no coherence between the sectors with different particle-number, the master equation can be solved in a “block-diagonal way”. Specifically, if the system starts with N particles, we need to solve a series of differential equations for each of the subspaces with cascading atom numbers: first for N particles, next for $N - 2$ particles, then for $N - 4$ particles, etc. There are $\binom{N}{n} \equiv \frac{N!}{n!(N-n)!}$ different sectors with $N - n$ particles, $n = 0, 2, \dots, N$. For mode independent elastic and inelastic interaction parameters, in each of the $\binom{N}{n}$ sectors with $\mathcal{N} = N - n$ particles, the dynamics are restricted to the collective Dicke states $|S = \mathcal{N}/2, M_{\mathcal{N}}\rangle \equiv |M_{\mathcal{N}}\rangle$. Moreover, each of the $\binom{N}{n}$ sectors behaves identically. If we assume that particles in $\rho_{\mathcal{N}}$ are numbered from 1 to \mathcal{N} in such a way that atoms $\mathcal{N} + 2$ and $\mathcal{N} + 1$ are the ones that decay as one goes from $\rho_{\mathcal{N}+2}$ to $\rho_{\mathcal{N}}$, the resulting equations are

$$\begin{aligned} \frac{d}{dt}\rho_{\mathcal{N}} = & -\frac{i}{\hbar}[H_{\mathcal{N}}, \rho_{\mathcal{N}}] - \frac{\Gamma}{2} \sum_{i < j, \alpha = ee, eg}^{\mathcal{N}} [(\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{\alpha})^{\dagger} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{\alpha}) \rho_{\mathcal{N}} + \rho_{\mathcal{N}} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{\alpha})^{\dagger} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{\alpha})] \\ & + \sum_{\alpha = ee, eg} \Gamma^{\alpha} \binom{N - \mathcal{N}}{2} (\hat{A}_{\mathbf{n}_{\mathcal{N}+2}, \mathbf{n}_{\mathcal{N}+1}}^{\alpha}) \rho_{\mathcal{N}+2} (\hat{A}_{\mathbf{n}_{\mathcal{N}+2}, \mathbf{n}_{\mathcal{N}+1}}^{\alpha})^{\dagger}, \end{aligned} \quad (63)$$

for $0 \leq \mathcal{N} \leq N - 2$. In terms of spin operators,

$$\sum_{i < j}^{\mathcal{N}} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{ee})^{\dagger} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{ee}) = \frac{\mathcal{N}(\mathcal{N} - 2)}{8} + \frac{\mathcal{N} - 1}{2} \hat{S}^z + \frac{1}{2} (\hat{S}^z)^2, \quad (64)$$

$$\sum_{i < j}^{\mathcal{N}} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{eg})^{\dagger} (\hat{A}_{\mathbf{n}_i, \mathbf{n}_j}^{eg}) = \frac{\mathcal{N}(\mathcal{N} - 2)}{8} + \frac{1}{2} [(\hat{S}^x)^2 + (\hat{S}^y)^2 - (\hat{S}^z)^2], \quad (65)$$

$$\begin{aligned} & \langle M_{\mathcal{N}} | (\hat{A}_{\mathbf{n}_{\mathcal{N}+2}, \mathbf{n}_{\mathcal{N}+1}}^{ee}) \rho_{\mathcal{N}+2} (\hat{A}_{\mathbf{n}_{\mathcal{N}+2}, \mathbf{n}_{\mathcal{N}+1}}^{ee})^{\dagger} | M'_{\mathcal{N}} \rangle \\ & = \langle M_{\mathcal{N}+2} + 2 | \rho_{\mathcal{N}+2} | M_{\mathcal{N}'+2} + 2 \rangle \sqrt{\frac{\binom{\mathcal{N}}{M_{\mathcal{N}+\mathcal{N}/2}} \binom{\mathcal{N}}{M'_{\mathcal{N}+\mathcal{N}/2}}}{\binom{\mathcal{N}+2}{M_{\mathcal{N}+\mathcal{N}/2+2}} \binom{\mathcal{N}+2}{M'_{\mathcal{N}+\mathcal{N}/2+2}}}} \\ & \langle M_{\mathcal{N}} | (\hat{A}_{\mathbf{n}_{\mathcal{N}+2}, \mathbf{n}_{\mathcal{N}+1}}^{eg}) \rho_{\mathcal{N}+2} (\hat{A}_{\mathbf{n}_{\mathcal{N}+2}, \mathbf{n}_{\mathcal{N}+1}}^{eg})^{\dagger} | M'_{\mathcal{N}} \rangle \\ & = \langle M_{\mathcal{N}+2} + 1 | \rho_{\mathcal{N}+2} | M_{\mathcal{N}'+2} + 1 \rangle \sqrt{\frac{\binom{\mathcal{N}}{M_{\mathcal{N}+\mathcal{N}/2}} \binom{\mathcal{N}}{M'_{\mathcal{N}+\mathcal{N}/2}}}{\binom{\mathcal{N}+2}{M_{\mathcal{N}+\mathcal{N}/2+1}} \binom{\mathcal{N}+2}{M'_{\mathcal{N}+\mathcal{N}/2+1}}}} \end{aligned}$$

After the dynamics of the various density matrix elements $\langle M'_{\mathcal{N}} | \rho_{\mathcal{N}} | M_{\mathcal{N}} \rangle$ are known, one can compute any observable. For example, the number of e atoms is given by

$$N_e = \sum_{\mathcal{N}} \binom{N}{\mathcal{N}} \sum_{M_{\mathcal{N}} = -\mathcal{N}/2+1}^{\mathcal{N}/2} (M_{\mathcal{N}} + \mathcal{N}/2) \langle M_{\mathcal{N}} | \rho_{\mathcal{N}} | M_{\mathcal{N}} \rangle,$$

where \mathcal{N} runs over $\mathcal{N} = N, N - 2, N - 4, \dots$

Appendix B. Effective Hamiltonian

In this appendix, we explain in detail the steps that lead to Eq. (54) in Section 5. As explained there, the states $|\Psi_{\sigma_k}^{1h}\rangle$ can be divided into six different categories, according to the intermediate state participating in 2nd-order perturbation theory. Each of these six cases gives rise to a different contribution in the Hamiltonian; we will now present these contributions. Let ζ define the set of initially unoccupied modes.

1. Case $|\Psi_{\sigma_k}^{1h}\rangle$

We start with process I, where in the intermediate state, one atom is populating a mode that does not belong to the initially populated manifold. We obtain terms with one, two, and three spin operators, i.e.

$$H_{\mathbf{n}}^{1h} = \sum_{j \notin \zeta, k \in \zeta} \frac{1}{E_{\mathbf{n}_j} - E_{\mathbf{n}_k}} H_{j,k}^{1h}, \tag{66}$$

$$H_{j,k}^{1h} = H_{j,k}^{1h,S} + H_{j,k}^{1h,SS} + H_{j,k}^{1h,SSS}. \tag{67}$$

$$\begin{aligned} H_{j,k}^{1h,S} = & \sum_{m \neq j} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} (v^{ee} - v^{gg}) \left\{ \hat{S}_{\mathbf{n}_j}^z \left[(v^{ee} + v^{gg}) \sum_{p < m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \right. \right. \\ & \left. \left. + v^{eg} \sum_{p < m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} + \frac{u_{eg}}{2} \sum_{p \neq m} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \right] \right. \\ & \left. + \hat{S}_{\mathbf{n}_m}^z \left[(v^{ee} + v^{gg}) \sum_{p \neq m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} + \frac{u_{eg}}{2} \sum_{p \neq m} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \right] \right\} \\ & + \frac{u_{eg}}{2} \sum_{m \neq j} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \hat{S}_{\mathbf{n}_m}^z \sum_{p \neq m} (v^{ee} - v^{gg}) P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k}, \end{aligned} \tag{68}$$

$$\begin{aligned} H_{j,k}^{1h,SS} = & \sum_{m \neq j} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \left\{ v^{eg} \left(\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y \right) \right. \\ & \times \left[\sum_{p \neq m} (v^{ee} + v^{gg} + v^{eg}) P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} + u_{eg} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} + 2v_{eg} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \right] \\ & + v^{eg} \sum_{p < m} \left(\hat{S}_{\mathbf{n}_p}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_p}^y \hat{S}_{\mathbf{n}_m}^y \right) (v^{eg} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k}) \\ & + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z \left[(2(v^{ee})^2 + 2(v^{gg})^2 - (v^{eg})^2) \sum_p P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} - (v^{eg})^2 P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \right. \\ & \left. + u_{eg} (v^{ee} + v^{gg} - v^{eg}) \sum_{p \neq m} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \right] \\ & + \sum_{p < m} \hat{S}_{\mathbf{n}_p}^z \hat{S}_{\mathbf{n}_m}^z \left[(2(v^{ee})^2 - 2v^{ee} v^{eg} + (v^{eg})^2 - 2v_{eg} v_{gg} + 2(v^{gg})^2) P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \right. \\ & \left. - u_{eg} (v^{ee} + v^{gg} - v^{eg}) S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_m} \right] \left. \right\} \\ & - u_{eg}^2 \sum_{m \neq j} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \left\{ \left(\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z \right) \left[\sum_p S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} + S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \right] \right. \\ & \left. - \sum_{p < m} \left(\hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_p}^x + \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_p}^y + \hat{S}_{\mathbf{n}_m}^z \hat{S}_{\mathbf{n}_p}^z \right) S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \right\} \end{aligned}$$

$$\begin{aligned}
 & - u_{eg} \sum_{m \neq j} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \left\{ (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z) (v^{ee} + v^{gg} + v^{eg}) \right. \\
 & \times \sum_{p \neq m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} + v^{eg} \sum_{p < m} (\hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_p}^x + \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_p}^y) P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \\
 & \left. + (v^{ee} + v^{gg} - v^{eg}) \sum_{p < m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \hat{S}_{\mathbf{n}_m}^z \hat{S}_{\mathbf{n}_p}^z \right\}, \tag{69}
 \end{aligned}$$

$$\begin{aligned}
 H_{j,k}^{1h,SSS} = & \sum_{m \neq j} (v^{eg} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k}) \left\{ \sum_{p \leq m} (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_p}^z + \hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_p}^x \hat{S}_{\mathbf{n}_m}^z \right. \\
 & \left. + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_p}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_p}^y \hat{S}_{\mathbf{n}_m}^z) 2P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} (v^{ee} - v^{gg}) \right\} \\
 & + (v^{ee} - v^{gg}) \sum_{m \neq j} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \sum_{p \leq m} \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z \hat{S}_{\mathbf{n}_p}^z \\
 & \times [4P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} (v^{ee} - v^{eg} + v^{gg}) - 2u_{eg} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k}] \\
 & - 2u_{eg} (v^{ee} - v^{gg}) \sum_{m \neq j} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_k} \sum_{p < m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_k} \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z \hat{S}_{\mathbf{n}_p}^z. \tag{70}
 \end{aligned}$$

2. Case $|\Psi_{\vec{\sigma}_k}^{2h2m}\rangle$

Next, we investigate the case where two atoms occupy two different states outside the initially populated modes. We arrive at terms with one and two spin operators:

$$H_{\vec{n}}^{2h2m} = \sum_{(j \neq i) \notin \zeta; (k \neq q) \in \zeta} \frac{1}{E_{\mathbf{n}_i} + E_{\mathbf{n}_j} - E_{\mathbf{n}_k} - E_{\mathbf{n}_q}} H_{j,i;k,q}^{2h2m} \tag{71}$$

$$\begin{aligned}
 H_{j,i;k,q}^{2h2m} = & -2u_{eg}^2 S_{\mathbf{n}_j \mathbf{i} \mathbf{n}_q \mathbf{n}_k}^2 \left[\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_i}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_i}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z \right] \\
 & + P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_q \mathbf{n}_k}^2 \left\{ ((v^{ee})^2 - (v^{gg})^2) [\hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_i}^z] \right. \\
 & \left. + 2(v^{eg})^2 [\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_i}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_i}^y] + 2((v^{ee})^2 - (v^{eg})^2 + (v^{gg})^2) [\hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z] \right\}. \tag{72}
 \end{aligned}$$

3. Case $|\Psi_{\vec{\sigma}_k}^{2h1m}\rangle$

Now we consider processes of type 2h1m where two atoms occupy the same mode outside the initial configuration space:

$$H_{\vec{n}}^{2h1m} = \sum_{(j \neq i) \notin \zeta; k \in \zeta} \frac{1}{E_{\mathbf{n}_i} + E_{\mathbf{n}_j} - 2E_{\mathbf{n}_k}} H_{j,i;k}^{2h1m}, \tag{73}$$

$$H_{j,i;k}^{2h1m} = -u_{eg}^2 S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_k \mathbf{n}_k}^2 (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_i}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_i}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z). \tag{74}$$

4. Case $|\Psi_{\vec{\sigma}_k}^{1d}\rangle$

In the case of processes of type 1d, two atoms occupy the same mode *within* the initial configuration space. We obtain contributions with one, two, and three spin operators:

$$H_{\vec{n}}^{1d} = \sum_{(j < h) \notin \zeta} \frac{1}{E_{\mathbf{n}_j} - E_{\mathbf{n}_h}} H_{j,h}^{1d}, \tag{75}$$

$$H_{j,h}^{1d} = H_{j,h}^{1dS} + H_{j,h}^{1dSS} + H_{j,h}^{1dSSS}, \tag{76}$$

$$H_{j,h}^{1dS} = \sum_{m \neq j,h} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} (v^{ee} - v^{gg}) \left\{ -\frac{u_{eg}}{2} (S_{\mathbf{n}_j \mathbf{n}_j \mathbf{n}_h} - S_{\mathbf{n}_j \mathbf{n}_h \mathbf{n}_h}) \hat{S}_{\mathbf{n}_m}^z + (\hat{S}_{\mathbf{n}_j}^z - \hat{S}_{\mathbf{n}_h}^z) \right. \\ \left. \times \left[(v^{ee} + v^{gg}) \sum_{p \leq m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} + v^{eg} \sum_{p < m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} + \frac{u_{eg}}{2} \sum_{p \neq m} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \right] \right\}, \tag{77}$$

$$H_{j,h}^{1dSS} = \sum_{m \neq j,h} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} \left\{ (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y - \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_m}^x - \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_m}^y) \right. \\ \times \left[(v^{ee} + v^{eg} + v^{gg}) \sum_{p \neq h,j} (v^{eg} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h}) \right. \\ \left. + v^{eg} u_{eg} \sum_p S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \right] + (\hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z - \hat{S}_{\mathbf{n}_h}^z \hat{S}_{\mathbf{n}_m}^z) \\ \times \left[(2(v^{ee})^2 + 2(v^{gg})^2 - (v^{eg})^2) \sum_p P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \right. \\ \left. + u_{eg} (v^{ee} + v^{gg} + v^{eg}) \sum_{p \neq m} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} - 2u_{eg} v^{eg} \sum_p S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \right] \\ - u_{eg}^2 \sum_{m \neq j,h} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y \\ - \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_m}^x - \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_m}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z - \hat{S}_{\mathbf{n}_h}^z \hat{S}_{\mathbf{n}_m}^z) \sum_p S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \\ - u_{eg} (v^{ee} + v^{gg} + v^{eg}) \sum_{m \neq j} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} \sum_{p \neq m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \\ \times (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y - \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_m}^x - \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_m}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z - \hat{S}_{\mathbf{n}_h}^z \hat{S}_{\mathbf{n}_m}^z) \\ + u_{eg} (S_{\mathbf{n}_j \mathbf{n}_j \mathbf{n}_h} - S_{\mathbf{n}_h \mathbf{n}_h \mathbf{n}_h}) (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_h}^z) \\ \times \left[u_{eg} \sum_p S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} + (v^{ee} + v^{gg} + v^{eg}) \sum_{p \neq j,h} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \right], \tag{78}$$

$$H_{j,h}^{1dSSS} = \sum_{m \neq j,h} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_j}^z) \\ \times (v^{eg} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h}) 2v^{eg} (v^{ee} - v^{gg}) \\ + \sum_{m \neq j,h} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} 2(v^{ee} - v^{gg}) \sum_{\mathbf{n}_p < \mathbf{n}_m} (P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} v^{eg} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h}) \\ \times (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_p}^x \hat{S}_{\mathbf{n}_m}^z - \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_p}^x \hat{S}_{\mathbf{n}_m}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_p}^y \hat{S}_{\mathbf{n}_m}^z - \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_p}^y \hat{S}_{\mathbf{n}_m}^z) \\ + \sum_{m \neq j,h} (v^{eg} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h}) \sum_{p < m} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \\ \times (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_p}^z - \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_m}^x \hat{S}_{\mathbf{n}_p}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_p}^z - \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_m}^y \hat{S}_{\mathbf{n}_p}^z) \\ + 2u_{eg} (v^{ee} - v^{gg}) \sum_{m \neq j,h} P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} (S_{\mathbf{n}_j \mathbf{n}_j \mathbf{n}_h} - S_{\mathbf{n}_h \mathbf{n}_h \mathbf{n}_h}) \\ \times (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_m}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_m}^z + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_h}^z \hat{S}_{\mathbf{n}_m}^z) \\ + \sum_{m \neq j,h} (v^{ee} - v^{gg}) \sum_{p < m} (\hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_m}^z \hat{S}_{\mathbf{n}_p}^z - \hat{S}_{\mathbf{n}_h}^z \hat{S}_{\mathbf{n}_m}^z \hat{S}_{\mathbf{n}_p}^z) \\ \times [4P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} (v^{ee} - v^{eg} + v^{gg}) - 2u_{eg} (P_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h} S_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} \\ + P_{\mathbf{n}_j \mathbf{n}_p \mathbf{n}_p \mathbf{n}_h} S_{\mathbf{n}_j \mathbf{n}_m \mathbf{n}_m \mathbf{n}_h})]. \tag{79}$$

5. Case $|\Psi_{\sigma_k}^{2d}\rangle$

Next, we consider the case when two modes inside the initial configuration space are doubly occupied. We obtain contributions with one, two and three spin operators:

$$H_{\mathbf{n}}^{2d} = \sum_{j>h, (i \neq j) > (r \neq h), (i, j, h, r) \notin \zeta} \frac{1}{E_{\mathbf{n}_j} - E_{\mathbf{n}_h} + E_{\mathbf{n}_i} - E_{\mathbf{n}_r}} H_{j, h, i, r}^{2d}, \quad (80)$$

$$\begin{aligned} H_{j, h, i, r}^{2d} = & \frac{1}{2} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h}^2 ((v^{ee})^2 - (v^{gg})^2) (\hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_i}^z - \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_r}^z) \\ & - 2((v^{ee})^2 - (v^{gg})^2) P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h}^2 (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_r}^z + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_r}^z \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_i}^z \hat{S}_{\mathbf{n}_r}^z \hat{S}_{\mathbf{n}_h}^z) \\ & - 2(v^{ee} - v^{gg}) P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h} (v^{eg} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h} - u_{eg} S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h}) \\ & \times \left[\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_r}^x \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_r}^y \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_j}^z \right. \\ & \left. - \hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_r}^x \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_r}^y \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_r}^z - \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_r}^z \right] \\ & - 2(v^{ee} - v^{gg}) P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h} (v^{eg} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h} + u_{eg} S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_r \mathbf{n}_h}) \\ & \times \left[\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_r}^x \hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_r}^y \hat{S}_{\mathbf{n}_j}^z \right. \\ & \left. - \hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_r}^z - \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_r}^z - \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_r}^x \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_r}^y \hat{S}_{\mathbf{n}_h}^z \right]. \quad (81) \end{aligned}$$

6. Case $|\Psi_{\sigma_k}^{1h1d}\rangle$

Finally, we investigate the case of double occupancy of a mode $\in \zeta$ and one mode $\notin \zeta$:

$$H_{\mathbf{n}}^{1h1d} = \sum_{(i \neq j \neq h) \notin \zeta, q \in \zeta} \frac{1}{E_{\mathbf{n}_j} - E_{\mathbf{n}_h} + E_{\mathbf{n}_i} - E_{\mathbf{n}_q}} H_{j, h, i, q}^{(1h1d)}, \quad (82)$$

$$\begin{aligned} H_{j, h, i, q}^{1h1d} = & \frac{1}{2} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 ((v^{ee})^2 - (v^{gg})^2) (\hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_i}^z - \hat{S}_{\mathbf{n}_h}^z) \\ & - u_{eg}^2 S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_i}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_i}^y + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z) \\ & + (v^{eg})^2 P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_i}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_i}^y) + ((v^{ee})^2 - (v^{eg})^2 + (v^{gg})^2) P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z \\ & + P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 \left[-v^{eg} (v^{ee} + v^{gg}) (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y + \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_h}^x \right. \\ & \left. + \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_h}^y) - ((v^{ee})^2 + (v^{gg})^2) (\hat{S}_{\mathbf{n}_i}^z \hat{S}_{\mathbf{n}_h}^z + \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_h}^z) \right] \\ & + u_{eg} S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q} \left[(v^{ee} + v^{gg}) (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y - \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_h}^x - \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_h}^y) \right. \\ & \left. - 2v^{eg} (\hat{S}_{\mathbf{n}_i}^z \hat{S}_{\mathbf{n}_h}^z - \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_h}^z) \right] \\ & - 2(v^{ee} - v^{gg}) v^{eg} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_j}^z + \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_j}^z) \\ & + 2(v^{ee} - v^{gg}) u_{eg} P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q} S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q} (\hat{S}_{\mathbf{n}_j}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_i}^z + \hat{S}_{\mathbf{n}_j}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_i}^z - \hat{S}_{\mathbf{n}_i}^x \hat{S}_{\mathbf{n}_h}^x \hat{S}_{\mathbf{n}_j}^z - \hat{S}_{\mathbf{n}_i}^y \hat{S}_{\mathbf{n}_h}^y \hat{S}_{\mathbf{n}_j}^z) \\ & - 2((v^{ee})^2 - (v^{gg})^2) P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}^2 \hat{S}_{\mathbf{n}_j}^z \hat{S}_{\mathbf{n}_i}^z \hat{S}_{\mathbf{n}_h}^z. \quad (83) \end{aligned}$$

The formulas for the contributions of the effective Hamiltonian can be simplified significantly if we consider the case of collective interactions, *i.e.* we use the fact that the coupling constants $P_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}$ and $S_{\mathbf{n}_j \mathbf{n}_i \mathbf{n}_h \mathbf{n}_q}$ do not depend significantly on i, j, h , and q .

Under the assumption of equal coupling constants, it follows immediately that the contributions to the effective Hamiltonian stemming from processes of types 1d and 2d, Eqs. (75) and (80), vanish

due to symmetry considerations. We then arrive at the following contributions:

$$\begin{aligned}
 H^{1h} = & \frac{1}{12}(v^{ee} - v^{gg}) \left[\chi_{PP}^{(3)}(3(3N - 2)(N - 2))(v^{ee} + v^{gg} + 3N(N - 2)v^{eg}) \right. \\
 & + \chi_{PS}^{(3)}3u^{eg}(N - 1)(N - 2) \left. \right] \hat{S}^z \\
 & + \frac{(\hat{S}^x)^2 + (\hat{S}^y)^2}{4} \left[\chi_{PP}^{(3)}(2(N - 2)v^{eg}(v^{ee} + v^{gg}) + (3N - 2)(v^{eg})^2) \right. \\
 & - \chi_{PS}^{(3)}u^{eg}((N - 2)(2v^{ee} + 2v^{gg}) + (3N - 2)v^{eg}) \left. \right] \\
 & + \frac{(\hat{S}^z)^2}{4} \left[\chi_{PP}^{(3)}((6N - 8)((v^{ee})^2 + (v^{gg})^2) - 2(N - 2)v^{eg}(v^{ee} + v^{gg}) \right. \\
 & - (N + 2)(v^{eg})^2) - \chi_{PS}^{(3)}2(N - 2)u^{eg}(v^{ee} + v^{eg} + v^{gg}) \left. \right] \\
 & - \frac{\vec{S} \cdot \vec{S}}{4}(N + 2)\chi_{SS}^{(3)}(u^{eg})^2 + (\hat{S}^z)^3(v^{ee} - v^{gg}) \left[\chi_{PP}^{(3)}(v^{ee} - v^{eg} + v^{gg}) - \chi_{SP}^{(3)}u^{eg} \right] \\
 & + ((\hat{S}^x)^2 + (\hat{S}^y)^2)\hat{S}^z(v^{ee} - v^{gg}) \left[\chi_{PP}^{(3)}v^{eg} - \chi_{PS}^{(3)}u^{eg} \right] \tag{84}
 \end{aligned}$$

$$\begin{aligned}
 H^{2h2m} = & \chi_{PP}^{(4)} \left[((v^{ee})^2 - (v^{gg})^2)2(N - 1)\hat{S}^z \right. \\
 & + 2(v^{eg})^2((\hat{S}^x)^2 + (\hat{S}^y)^2) + 2((v^{ee})^2 - (v^{eg})^2 + (v^{gg})^2)(\hat{S}^z)^2 \left. \right] \\
 & - 2(u_{eg})^2\chi_{SS}^{(4)}\vec{S} \cdot \vec{S} \tag{85}
 \end{aligned}$$

$$H^{2h1m} = -2(u_{eg})^2\chi_{SS}^{(5)}\vec{S} \cdot \vec{S} \tag{86}$$

$$\begin{aligned}
 H^{1h1d} = & \frac{1}{4}\hat{S}^z(v^{ee} - v^{gg}) \left[\chi_{PP}^{(6)}((N^2 + 3N - 2)(v^{ee} + v^{gg}) + 4Nv^{eg}) - 4N\chi_{PS}^{(6)}u_{eg} \right] \\
 & - \chi_{SS}^{(6)}(N - 2)(u_{eg})^2\vec{S} \cdot \vec{S} + ((\hat{S}^x)^2 + (\hat{S}^y)^2)(N - 2)\chi_{PP}^{(6)}v^{eg}(v^{eg} - 2v^{ee} - 2v^{gg}) \\
 & - (\hat{S}^z)^2(N - 2) \left[\chi_{PP}^{(6)}((v^{ee})^2 + (v^{eg})^2 + (v^{gg})^2) + 4\chi_{PS}^{(6)}u_{eg}v^{eg} \right] \\
 & + 2((\hat{S}^x)^2 + (\hat{S}^y)^2)\hat{S}^z(\chi_{PP}^{(6)}v^{eg} - \chi_{PS}^{(6)}u_{eg})(v^{gg} - v^{ee}) \\
 & + 2(\hat{S}^z)^3\chi_{PP}^{(6)}((v^{gg})^2 - (v^{ee})^2) \tag{87}
 \end{aligned}$$

$$\chi_{AB}^{(3)} = \frac{1}{N(N - 1)(N - 2)} \sum_{\substack{j,p,m \\ k \in \mathcal{Y}}} \frac{A_{\mathbf{n}_j, \mathbf{n}_p, \mathbf{n}_p, \mathbf{n}_k} B_{\mathbf{n}_j, \mathbf{n}_m, \mathbf{n}_m, \mathbf{n}_k}}{E_{\mathbf{n}_j} - E_{\mathbf{n}_k}} \tag{88}$$

$$\chi_{AB}^{(4)} = \frac{1}{N(N - 1)} \sum_{\substack{j,i \\ k,q \in \mathcal{Y}}} \frac{A_{\mathbf{n}_j, \mathbf{n}_i, \mathbf{n}_k, \mathbf{n}_q} B_{\mathbf{n}_j, \mathbf{n}_i, \mathbf{n}_k, \mathbf{n}_q}}{E_{\mathbf{n}_j} + E_{\mathbf{n}_i} - E_{\mathbf{n}_k} - E_{\mathbf{n}_q}} \tag{89}$$

$$\chi_{AB}^{(5)} = \frac{1}{N(N - 1)} \sum_{j,i,k \in \mathcal{Y}} \frac{A_{\mathbf{n}_j, \mathbf{n}_i, \mathbf{n}_k, \mathbf{n}_k} B_{\mathbf{n}_j, \mathbf{n}_i, \mathbf{n}_k, \mathbf{n}_k}}{E_{\mathbf{n}_j} + E_{\mathbf{n}_i} - 2E_{\mathbf{n}_k}} \tag{90}$$

$$\chi_{AB}^{(6)} = \frac{1}{N(N - 1)(N - 2)} \sum_{\substack{j \neq i \neq h \\ q \in \mathcal{Y}}} \frac{A_{\mathbf{n}_j, \mathbf{n}_i, \mathbf{n}_h, \mathbf{n}_q} B_{\mathbf{n}_j, \mathbf{n}_i, \mathbf{n}_h, \mathbf{n}_q}}{E_{\mathbf{n}_j} + E_{\mathbf{n}_i} - E_{\mathbf{n}_h} - E_{\mathbf{n}_q}} \tag{91}$$

Finally, we make use of the fact that within the collective Dicke manifold the relation $(\hat{S}^x)^2 + (\hat{S}^y)^2 + (\hat{S}^z)^2 = \frac{N}{2}(\frac{N}{2} + 1)$ holds.

$$H^{1h} = a_1^{1h}\hat{S}^z + a_2^{1h}(\hat{S}^z)^2 + a_3^{1h}(\hat{S}^z)^3 \tag{92}$$

$$H^{2h2m} = a_1^{2h2m} \hat{S}^z + a_2^{2h2m} (\hat{S}^z)^2 \quad (93)$$

$$H^{2h1m} = b_2^{2h1m} \vec{S} \cdot \vec{S} \quad (94)$$

$$H^{1d} = H^{2d} = 0 \quad (95)$$

$$H^{1h1d} = a_1^{1h1d} \hat{S}^z + a_2^{1h1d} (\hat{S}^z)^2 + a_3^{1h1d} (\hat{S}^z)^3 \quad (96)$$

with

$$a_1^{1h} = \frac{1}{12} \hat{S}^z (v^{ee} - v^{gg}) \left[\chi_{PP}^{(3)} (3(3N-2)(N-2)(v^{ee} + v^{gg}) + 6N^2 v^{eg}) + \chi_{PS}^{(3)} u^{eg} 3(N-1)(N-2) \right], \quad (97)$$

$$a_2^{1h} = \frac{1}{2} \chi_{PP}^{(3)} \left[(3N-4)((v^{ee})^2 + (v^{gg})^2) - 2(N-2)v^{eg}(v^{ee} + v^{gg}) - 2N(v^{eg})^2 \right], \quad (98)$$

$$a_3^{1h} = (v^{ee} - v^{gg}) \left[\chi_{PP}^{(3)} (v^{ee} - 2v^{eg} + v^{gg}) \right], \quad (99)$$

$$a_1^{2h2m} = \chi_{PP}^{(4)} \left[((v^{ee})^2 - (v^{gg})^2) 2(N-1) \right], \quad (100)$$

$$a_2^{2h2m} = \chi_{PP}^{(4)} \left[2((v^{ee})^2 - 2(v^{eg})^2 + (v^{gg})^2) \right], \quad (101)$$

$$b_2^{2h1m} = -2(u_{eg})^2 \chi_{SS}^{(5)}, \quad (102)$$

$$a_1^{1h1d} = \frac{1}{4} (v^{ee} - v^{gg}) \left[\chi_{PP}^{(6)} (N^2 + 3N - 2)(v^{ee} + v^{gg}) + 4N(N-4)(\chi_{PS}^{(6)} u_{eg} - \chi_{PP}^{(6)} v^{eg}) \right], \quad (103)$$

$$a_2^{1h1d} = -(N-2) \left[\chi_{PP}^{(6)} ((v^{ee})^2 + 2(v^{eg})^2 + (v^{gg})^2 - 2v^{eg}(v^{ee} + v^{gg})) + 2\chi_{PS}^{(6)} u_{eg}(v^{ee} + 2v^{eg} + v^{gg}) \right], \quad (104)$$

$$a_3^{1h1d} = -2(\hat{S}^z)^3 (v^{ee} - v^{gg}) \left[\chi_{PP}^{(6)} (v^{ee} - 2v^{eg} + v^{gg}) + 2\chi_{PS}^{(6)} u_{eg} \right]. \quad (105)$$

In conclusion, the virtual processes give rise to an effective Hamiltonian, which after projection onto the collective Dicke Manifold and up to constants of motion (*i.e.* $\vec{S} \cdot \vec{S}$ terms) is given by:

$$H_n^S = a_1^T \hat{S}^z + a_2^T (\hat{S}^z)^2 + a_3^T (\hat{S}^z)^3, \quad (106)$$

$$a_1^T = a_1^{1h} + a_1^{2h2m} + a_1^{1h1d}, \quad (107)$$

$$a_2^T = a_2^{1h} + a_2^{2h2m} + a_1^{1h1d}, \quad (108)$$

$$a_3^T = a_2^{1h} + a_1^{1h1d}. \quad (109)$$

Appendix C. Analytic solution for the case of weak excitation inhomogeneity

In the presence of excitation inhomogeneity, an analytic treatment based on perturbation theory can be performed when dealing with purely unitary evolution (*i.e.* neglecting two-body losses) and assuming collective two-body interactions.

To accomplish that, one writes $\Omega_{\vec{n}_j} = \bar{\Omega}_{\vec{n}} + \delta\Omega_{\vec{n}_j}$, with $\bar{\Omega}_{\vec{n}} = \sum_j \Omega_{\vec{n}_j}/N$ the mean Rabi frequency and treats $\delta\Omega_{\vec{n}_j}/\bar{\Omega}$ as a perturbation parameter. Note that in this case the Hamiltonian evolution during the pulse can be written as

$$e^{it \sum_{j=1}^N \Omega_{\vec{n}_j} \hat{S}_{\vec{n}_j}^y} = e^{it \bar{\Omega} \hat{S}^y} \hat{\mathfrak{R}}(t), \tag{110}$$

$$\hat{\mathfrak{R}}(t) = (1 + it\hat{O} - (t\hat{O})^2/2 \dots), \tag{111}$$

$$\hat{O} \equiv \sum_{j=1}^N \delta\Omega_{\vec{n}_j} \hat{S}_{\vec{n}_j}^y = \sum_{k=1}^N \delta\Omega_k \left(\sum_{j=1}^N e^{i2\pi kj/N} \hat{S}_{\vec{n}_j}^y \right). \tag{112}$$

Here $k = 1, \dots, N - 1$, $\hat{S}^{x,y,z} = \sum_j \hat{S}_{\vec{n}_j}^{x,y,z}$, $\delta\Omega_k \equiv \frac{1}{N} \sum_{j=1}^N e^{i\frac{2\pi jk}{N}} \delta\Omega_{\vec{n}_j}$, and $\hat{S}_{\vec{n}_j}^{\pm} = \hat{S}_{\vec{n}_j}^x \pm i\hat{S}_{\vec{n}_j}^y$. Since

$$\sum_{j=1}^N e^{i2\pi kj/N} \hat{S}_{\vec{n}_j}^{\pm} |N/2, M\rangle = A_{N,M}^{\pm} |N/2 - 1, M \pm 1, k\rangle, \tag{113}$$

$$A_{N,M}^{\pm} \equiv \pm \left(\frac{(N/2 \mp M)(N/2 \mp M - 1)}{(N - 1)} \right)^{1/2},$$

then $\hat{O}|N/2, M\rangle = \frac{1}{2i} \sum_{k=1}^N \delta\Omega_k (A_{N,M}^+ |N/2 - 1, M + 1, k\rangle - A_{N,M}^- |N/2 - 1, M - 1, k\rangle)$. This equality means that the role of inhomogeneity is to populate the $S = N/2 - 1$ states, the so called spin-wave states. These states during the free evolution acquire a phase $-\delta M\tau + \chi_{\vec{n}} M^2 \tau - NJ_{\vec{n}}^{\perp} \tau$, which will give rise to an additional interaction energy shift proportional to $J_{\vec{n}}^{\perp}$ not present in the fully collective case, $\tilde{\delta} = \delta - C_{\vec{n}}(N - 1)$.

Under this approximation, one obtains that, after the second pulse, the number of excited atoms, $N_{e,\vec{n}}(t_1, t_2)$ in Ramsey spectroscopy is:

$$\begin{aligned} N_{e,\vec{n}}(t_1, t_2) &= \frac{N}{2} + \langle \hat{S}^z \rangle \\ &= N/2 + \cos(\bar{\theta}_2) \langle \psi(0^-) | \hat{\mathfrak{R}}^{\dagger}(t_1) e^{i\tau \hat{H}^S / \hbar} \hat{\mathfrak{R}}(t_2) \hat{S}^z \hat{\mathfrak{R}}(t_2) e^{-i\tau \hat{H}^S / \hbar} \hat{\mathfrak{R}}(t_1) | \psi(0^-) \rangle \\ &\quad + \sin(\bar{\theta}_2) \langle \psi(0^-) | \hat{\mathfrak{R}}^{\dagger}(t_1) e^{i\tau \hat{H}^S / \hbar} \hat{\mathfrak{R}}^{\dagger}(t_2) \hat{S}^x \hat{\mathfrak{R}}(t_2) e^{-i\tau \hat{H}^S / \hbar} \hat{\mathfrak{R}}(t_1) | \psi(0^-) \rangle. \end{aligned} \tag{114}$$

Keeping only the leading order correction, which can be shown to be proportional to $\Delta\Omega_{\vec{n}}^2 = \sum_j \Omega_{\vec{n}_j}^2/N - \bar{\Omega}_{\vec{n}}^2$, i.e. the first-order corrections vanish, one can show that the Ramsey signal is given by

$$\begin{aligned} N_{e,\vec{n}}(t_1, t_2) &= \frac{N}{2} \Delta\theta_1 \Delta\theta_2 W \cos(\bar{\theta}_1) \cos(\bar{\theta}_2) Z^{N-2} \cos[\tau(\tilde{\delta} + (N - 2)\zeta + \vartheta)] \\ &\quad + \frac{N}{2} \left(1 - \frac{\Delta\theta_2^2}{2} \right) \sin(\bar{\theta}_1) \sin(\bar{\theta}_2) Z^{N-1} \cos[\tau(\tilde{\delta} + (N - 1)\zeta)] \\ &\quad - \frac{N}{2} \left(\frac{\Delta\theta_1^2}{4} \right) \sin(\bar{\theta}_1) \sin(\bar{\theta}_2) Z^{N-3} \left[(N - 1) \tilde{Z} \cos[\tau(\tilde{\delta} + (N - 3)\zeta + \phi)] \right. \\ &\quad \left. + (N - 3) \cos[\tau(\tilde{\delta} + (N - 3)\zeta)] \right] + K. \end{aligned} \tag{115}$$

The parameters ϑ , ϕ , \tilde{Z} , W , and K are given by:

$$\tan(\vartheta\tau) \equiv \tan[(NJ^{\perp} + \chi)\tau] \sec(\bar{\theta}_1), \tag{116}$$

$$\tan(\phi\tau) \equiv \tan(2\chi\tau) \cos(\bar{\theta}_1), \tag{117}$$

$$\tilde{Z}^2 \equiv 1 - \sin^2(\bar{\theta}_1) \sin^2(2\chi\tau), \tag{118}$$

$$W^2 \equiv 1 - \tan^2(\bar{\theta}_1) \sin^2 [(Nj^\perp + \chi)\tau], \tag{119}$$

$$K \equiv \frac{N}{2} - \frac{N}{2} \cos(\bar{\theta}_1) \cos(\bar{\theta}_2) \left(1 - \frac{\Delta\bar{\theta}_2^2 + \Delta\bar{\theta}_1^2}{2} \right) - \frac{N}{2} \sin(\bar{\theta}_1) \sin(\bar{\theta}_2) \Delta\theta_2 \Delta\theta_1 \cos(Nj^\perp \tau), \tag{120}$$

and Z, ζ given by Eqs. (21) and (22). For simplicity, we have removed the subscript $\bar{\mathbf{n}}$ but it is understood that all the interactions and Rabi frequencies are for the selected modes $\bar{\mathbf{n}}$ under consideration. For $N = 1, \Delta\Omega = 0$.

Appendix D. Generic mean-field equations of motion

Here we derive the most general equations of motion that include excitation inhomogeneity, non-collective elastic and inelastic two-body interactions, and single-particle losses. The equations are obtained by assuming that the density matrix can be factorized according to Eq. (46).

$$\begin{aligned} \frac{d}{dt} \rho_{ee}(k) &= \frac{1}{2} \sum_{k'=0}^{N-1} \left(\Omega_{k+k'} \rho_{eg}(k') + \Omega_{k-k'} \rho_{ge}(k') \right) \\ &\quad - i \sum_{k',k''=0}^{N-1} \rho_{eg}(k') \left(\rho_{ge}(k'') [J_{k'+k,k''}^\perp - J_{k-k'',-k'}^\perp] \right) - \Gamma^e \rho_{ee}(k) \\ &\quad - \sum_{k',k''=0}^{N-1} \rho_{ee}(k') \left(\Gamma_{k-k',k''}^{ee} \rho_{ee}(k'') + \left(\frac{\Gamma_{k-k',k''}^{eg} + \Lambda_{k-k',k''}^{eg}}{2} \right) \rho_{gg}(k'') \right) \\ &\quad - \sum_{k',k''=0}^{N-1} \left(\frac{\Gamma_{k',k'-k}^{eg} - \Lambda_{k',k'-k}^{eg}}{4} \right) \rho_{ge}(k') \rho_{eg}(k'') \\ &\quad - \sum_{k',k''=0}^{N-1} \left(\frac{\Gamma_{k'+k,k''}^{eg} - \Lambda_{k'+k,k''}^{eg}}{4} \right) \rho_{ge}(k'') \rho_{eg}(k'), \end{aligned} \tag{121}$$

$$\begin{aligned} \frac{d}{dt} \rho_{gg}(k) &= -\frac{1}{2} \sum_{k'=0}^{N-1} \left(\Omega_{k+k'} \rho_{eg}(k') + \Omega_{k-k'} \rho_{ge}(k') \right) \\ &\quad + i \sum_{k',k''=0}^{N-1} \rho_{eg}(k') \left(\rho_{ge}(k'') [J_{k'+k,k''}^\perp - J_{k-k'',-k'}^\perp] \right) \\ &\quad - \Gamma^g \rho_{gg}(k) - \sum_{k',k''=0}^{N-1} \left(\frac{\Gamma_{k-k',k''}^{eg} + \Lambda_{k-k',k''}^{eg}}{2} \right) \rho_{gg}(k') \rho_{ee}(k'') \\ &\quad - \sum_{k',k''=0}^{N-1} \left(\frac{\Gamma_{k'',k'-k}^{eg} - \Lambda_{k'',k'-k}^{eg}}{4} \right) \rho_{ge}(k') \rho_{eg}(k'') \\ &\quad - \sum_{k',k''=0}^{N-1} \left(\frac{\Gamma_{k'+k,k''}^{eg} - \Lambda_{k'+k,k''}^{eg}}{4} \right) \rho_{ge}(k'') \rho_{eg}(k'), \end{aligned} \tag{122}$$

$$\begin{aligned} \frac{d}{dt} \rho_{eg}(k) &= -i\delta \rho_{eg}(k) - \frac{1}{2} \sum_{k'=0}^{N-1} \left(\Omega_{-k-k'} [\rho_{ee}(k') - \rho_{gg}(k')] \right) \\ &\quad - i \sum_{k',k''=0}^{N-1} \rho_{eg}(k') \left([\rho_{ee}(k'') - \rho_{gg}(k'')] [J_{-k-k'',-k'}^\perp - J_{k'-k,k''}^\perp - \chi_{k'-k,k''}] \right) \\ &\quad - [\rho_{ee}(k'') + \rho_{gg}(k'')] C_{k'-k,k''} \end{aligned}$$

$$\begin{aligned}
& - \frac{1}{2} (\Gamma^e + \Gamma^g) \rho_{eg}(k) - \sum_{k', k''=0}^{N-1} \rho_{eg}(k') \left(\frac{\Gamma_{k'-k, k''}^{ee}}{2} \rho_{ee}(k'') \right. \\
& \left. + \left(\frac{\Gamma_{k'-k, k''}^{eg} + \Lambda_{k'-k, k''}^{eg}}{4} \right) [\rho_{ee}(k'') + \rho_{gg}(k'')] \right) \\
& - \sum_{k', k''=0}^{N-1} [\rho_{ee}(k') + \rho_{gg}(k')] \rho_{eg}(k'') \left(\frac{\Gamma_{k'', k'-k}^{eg} - \Lambda_{k'', k'-k}^{eg}}{4} \right). \tag{123}
\end{aligned}$$

Here we have introduced the following quantities:

$$\Omega_k \equiv \frac{1}{N} \sum_{j=1}^N e^{i \frac{2\pi j k}{N}} \Omega_{\bar{n}_j}, \tag{124}$$

$$\Gamma_{k, k'}^{\alpha, \beta} \equiv \frac{1}{N^2} \sum_{j, j'=1}^N e^{i \frac{2\pi j k}{N}} e^{-i \frac{2\pi j' k'}{N}} \Gamma_{\bar{n}_j, \bar{n}_{j'}}^{\alpha, \beta}, \tag{125}$$

$$\Lambda_{k, k'}^{eg} \equiv \frac{1}{N^2} \sum_{j, j'=1}^N e^{i \frac{2\pi j k}{N}} e^{-i \frac{2\pi j' k'}{N}} \Lambda_{\bar{n}_j, \bar{n}_{j'}}^{eg}, \tag{126}$$

$$J_{k, k'}^{\perp} \equiv \frac{1}{N^2} \sum_{j, j'=1}^N e^{i \frac{2\pi j k}{N}} e^{-i \frac{2\pi j' k'}{N}} J_{\bar{n}_j, \bar{n}_{j'}}^{\perp}, \tag{127}$$

$$\chi_{k, k'} \equiv \frac{1}{N^2} \sum_{j, j'=1}^N e^{i \frac{2\pi j k}{N}} e^{-i \frac{2\pi j' k'}{N}} \chi_{\bar{n}_j, \bar{n}_{j'}}, \tag{128}$$

$$C_{k, k'} \equiv \frac{1}{N^2} \sum_{j, j'=1}^N e^{i \frac{2\pi j k}{N}} e^{-i \frac{2\pi j' k'}{N}} C_{\bar{n}_j, \bar{n}_{j'}}. \tag{129}$$

We have also assumed translationally invariant single particle decay and detuning since this is the most relevant experimental case. However, those can be made to be inhomogeneous straightforwardly. $\Lambda_{\bar{n}_j, \bar{n}_{j'}}^{eg}$ accounts for s -wave e - g inelastic collisions. We have not introduced s -losses before since those are only possible when the dynamics are not restricted to take place in the fully symmetric Dicke manifold [54].

Note that for a coherent state, $\rho_{ee}(k=0) \rho_{gg}(k=0) = \rho_{eg}(k=0) \rho_{ge}(k=0)$. This is an important equality to keep in mind to reproduce the terms proportional to Γ^{eg} in Eqs. (48)–(50) and to reproduce the absence of s -wave losses in the collective limit.

Appendix E. Gap protection

The derivation of the collective spin model is based on the assumption that all particles interact collectively with each other and pulses are homogeneous, *i.e.* the coupling constants $P_{\bar{n}_j \bar{n}_i \bar{n}_h \bar{n}_q}$ and $S_{\bar{n}_j \bar{n}_i \bar{n}_h \bar{n}_q}$ are taken to be independent of j, i, h and q . This assumption is justified if there exists a large energy gap that prevents transitions between the $S = N/2$ and $S = N/2 - 1$ sectors. Such a gap can be generated if the term $J_{\bar{n}}^{\perp} \cdot \bar{S}$ is large compared to the other terms in the Hamiltonian. Recall that $J_{\bar{n}}^{\perp} = (V_{\bar{n}}^{eg} - U_{\bar{n}}^{eg})/2$, where $V_{\bar{n}}^{eg}$ and $U_{\bar{n}}^{eg}$ are functions of the scattering lengths for p - and s -wave interactions, respectively. We expect that the assumption of a collective interaction approximates well the full dynamics of the system in the presence of a large energy gap. As an illustration, we present in Fig. 19 a comparison of the exact solution with the collective approximation in the case of no s -wave

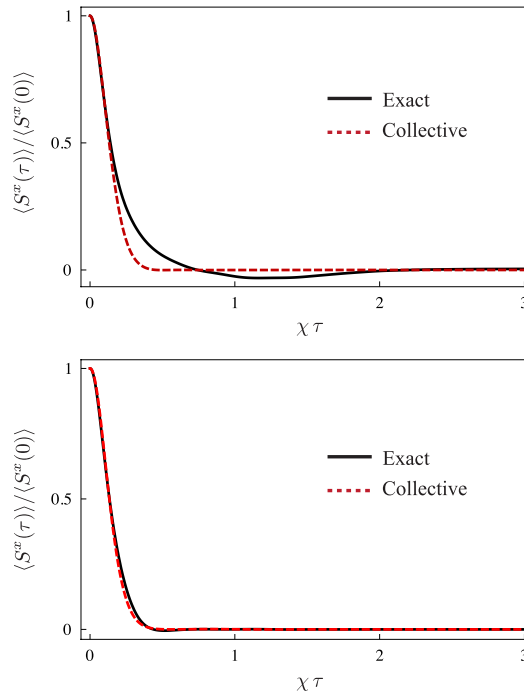


Fig. 19. Effect of s -wave interactions on the validity of the collective approximation. Comparison of the exact solution (solid) with the collective approximation (dashed) in the absence of s -wave interactions (top) and for $u/v^{eg} = 15$ (bottom).

interaction, $u = 0$, and a ratio of $u/v^{eg} = 15$. In this case, we use a boxed potential to compute the mode-dependence of the p -wave interactions. The s -wave interactions in the boxed potential become mode independent.

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